



REPORT

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FUNCiTREE – Final conference presentations

Session III: Policies to support sustainable natural resource use and the Ecosystem Services concept

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Payments for Environmental Services – from Theory to Practice ?

by

Arild Vatn

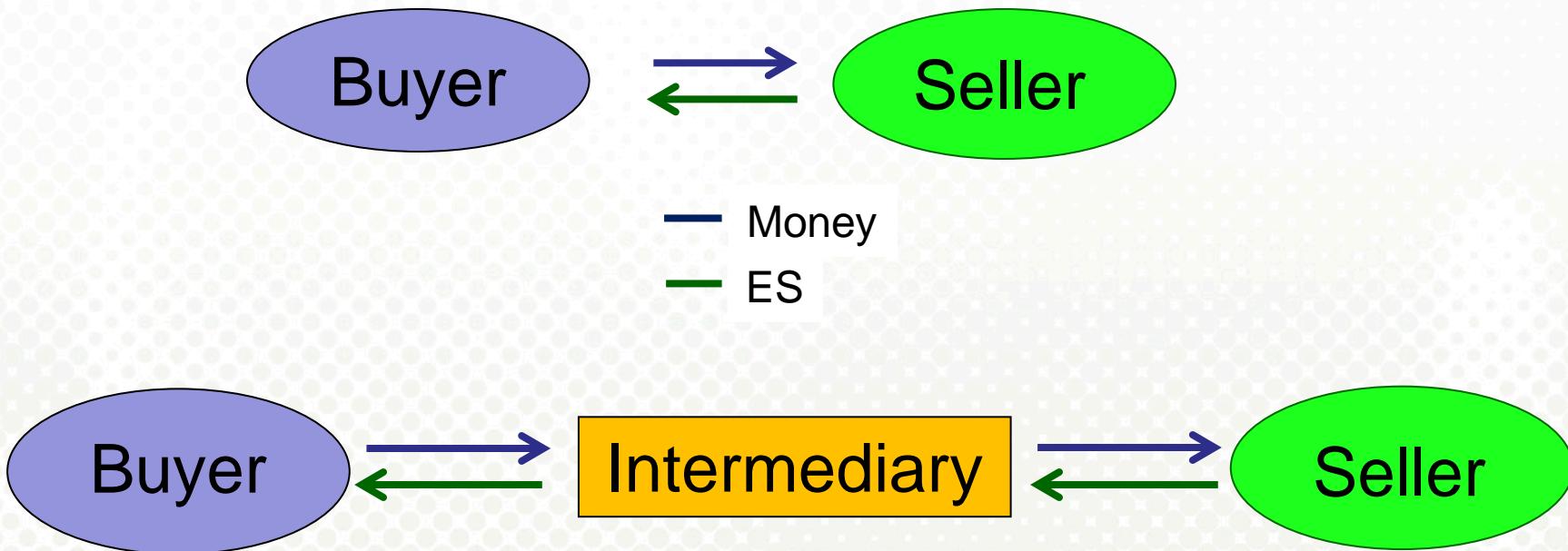
Department of International Environment and Development Studies
Norwegian University of Life Sciences

At FUNCiTREE final conference. 23-25 May 2013, Trondheim, Norway.

Introduction

- Payments for ecosystem services (PES) have over the last 10-20 years gained a quite strong position as a new way to ensure nature protection/sustainable delivery of (public) ecosystem services (ES) like biodiversity, water management, carbon sequestration etc.
- It is typically seen **as a market** for ES – e.g., Engel et al. (2008) – part of a neo-liberal agenda. An alternative to legal regulations
- As such it is seen as a way to **increase efficiency** in nature protection – e.g., Pagiola et al. (2008)
 - Values are more accurately measured
 - One avoids budget fights within governments
- The capacity markets have to create **more resources** is also emphasized

The market

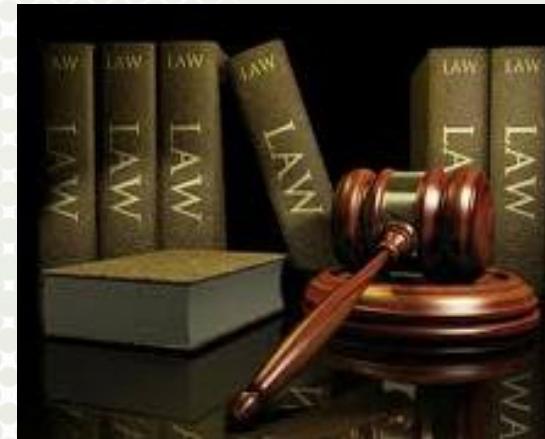


— Money
— ES



PES as a governance structure

- A governance structure includes two core elements
 - The **actors** involved – e.g., individuals, firms, public bodies
 - The **rules specifying the interactions** between actors – e.g., trade, command, reciprocity/cooperation
- Types of governance structures
 - Markets
 - Public management
 - Cooperatives/community organization
 - Hybrids
- PES: Private actors trading in markets?



The challenges for PES

● Rights

- Paying demands deciding who should pay whom

● Delimit the service

- How to delimit what to pay for? Nature as commodity vs. a process

● Incentives

- Why should individual actors pay for ES? They are (very often) public goods?

● Valuation

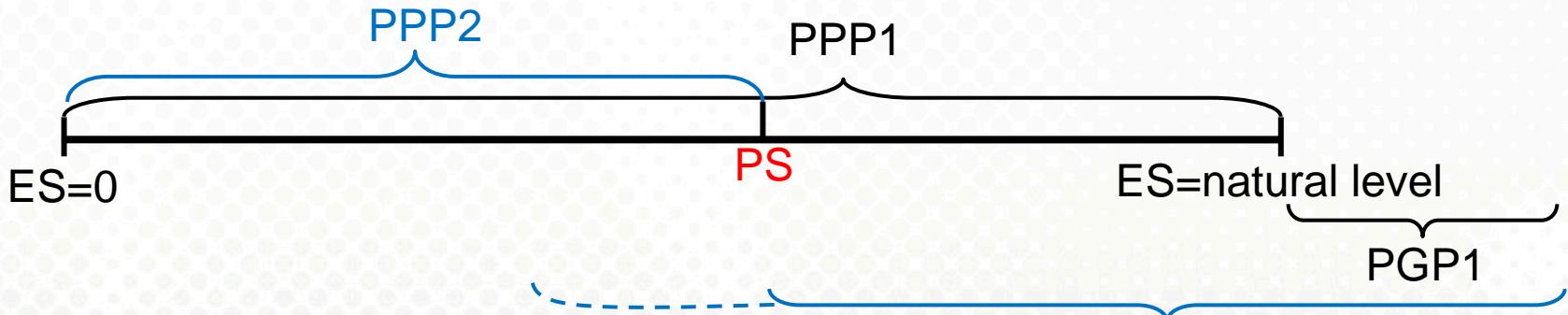
- Should/can (all) ES be measured in monetary terms?

● Transaction costs

- Trading is in itself costly to organize



The rights issue



PPP: Polluter Pays Principle

PGP: Provider Gets Principle

PS: Present state

- Payments vs. subsidies vs. taxes
 - The issue of **fairness**
 - **PPP** implies taxes on disturbances
 - **PGP** implies payments/subsidies for 'producing' the service
 - In the latter case (payments vs. subsidies): Payments may sound much better than subsidies. But isn't it the same thing with a different name: Private payments vs. state payments?

Delimit the service

- We talk largely about **ecosystems** – i.e., systems of species, matter and energy → difficult to define and delimit the ES
- There is a big difference between delimiting water for sale as:
 - Drinking water (in bottles)
 - Ground water
 - Precipitation etc.
- Forests as **timber** vs. forests as hosting **biodiversity**
- Payments are typically not for services, but for proxies like land of certain types/under certain management regimes



A.S. Thygesen



A.S. Thygesen

The incentives

- Three main challenges
 - Public goods → 'free riders' or the '1/n problem'. Why pay if somebody else has already done so? Why pay if nobody else pays? → Does PES as market really attract more resources?
 - Payments may **change motivation** → no nature will be protected without somebody paying (?)
 - Incentives to **cheat** – the problem of control



A.S. Thygesson



A.S. Thygesson

Valuation

- Main challenges for economic valuation
 - **Complexity and knowledge**
 - **Ethical issues** – e.g., rights of species
 - **Public goods** – should decisions in this area be made on the basis **individual preferences** in the form of willingness to pay or on **arguments**?
 - **Willingness to pay** depends on **capacity to pay**



Transaction costs

- The theory of markets does not really take into account that trading is in itself costly – transaction costs
 - Define rights and delimiting the ES
 - Gather information
 - Make contracts
 - Control that contracts are complied with
- Wallis og North (1986): **Transaction costs are about the size of production costs** in modern economies....
- **Public authorities** can often organize payments much cheaper than **markets** – use the power they have to command – e.g., taxing
 - Ex: Already existing water fees are increased to protect forests upstream of a water reservoir

PES in practice

- **Rights** are with **land owners** (provider gets principle)
- Where land rights are not clarified → PES may result in **redistribution of access to land**
- Buyers and sellers must often be 'created'. **Intermediaries** are the dominant actors
- **Public authorities** are **the dominant** 'buyers' or 'intermediaries' in PES
 - At the **funding side**: Taxes or fees dominate
 - At the **buying side**: Public bodies may operate through trades – e.g., auctions and some non-auctioned contracts. This fraction has not been quantifiable, but seems to be rather low. Also difficult to draw the line between trade and non-trade arrangements here
- Why does public bodies dominate:
 - The **free rider problem**
 - **Transaction costs**

PES in practice (cont.)

Volumes of money in PES in 2009 (Milder et al. 2010)

● Water services

- Total volume: 14.200 mill. USD
- Part provided by public bodies: 99.9%

● Landscape protection and recreation

- Total volume: 7.300 mill. USD
- Part provided by public bodies: 70%

● Biodiversity

- Total volume: 1.400 mill. USD
- Part provided by public bodies: 99 %

● Carbon-sequestering – only land based activities

- Total volume: 170 mill. USD
- Part provided by public bodies: 8 %

(The size of the cap-and-trade carbon market was in 2011: 176 billion USD (World Bank 2012). This market is created by the cap set and the defined right to trade (command based))

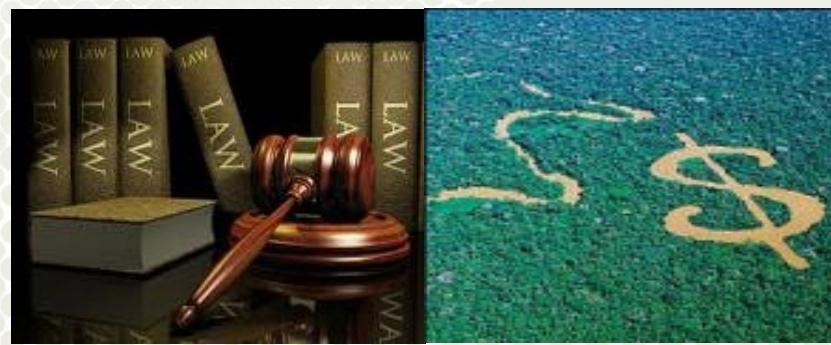
PES in practice (cont.)

- In total, 90 % of PES resources are provided by public authorities (local and national) through taxes, fees etc. Regarding money coming voluntarily – ‘private payments’ – 9/10 is for private services (hunting, fishing, hiking etc.)
- Hence, only 1 % of the money for public aspects of ES comes from voluntary private payments
- The situation is pretty much the same in developing and developed countries.



Conclusion

- While PES is very much 'marketed' as a 'turn to the market', most of PES is public subsidies
- Important reasons for this seems motivational (free rider issues) and cost related (transaction costs)
- There is, however, a big difference between the various ES where PES in carbon services is dominantly taking the form of trades – simpler commodity + less state engagement in carrying costs directly
- The PES ideology may still be a 'game changer' in that it shifts our thinking about nature towards one of exchanges and monetary valuation



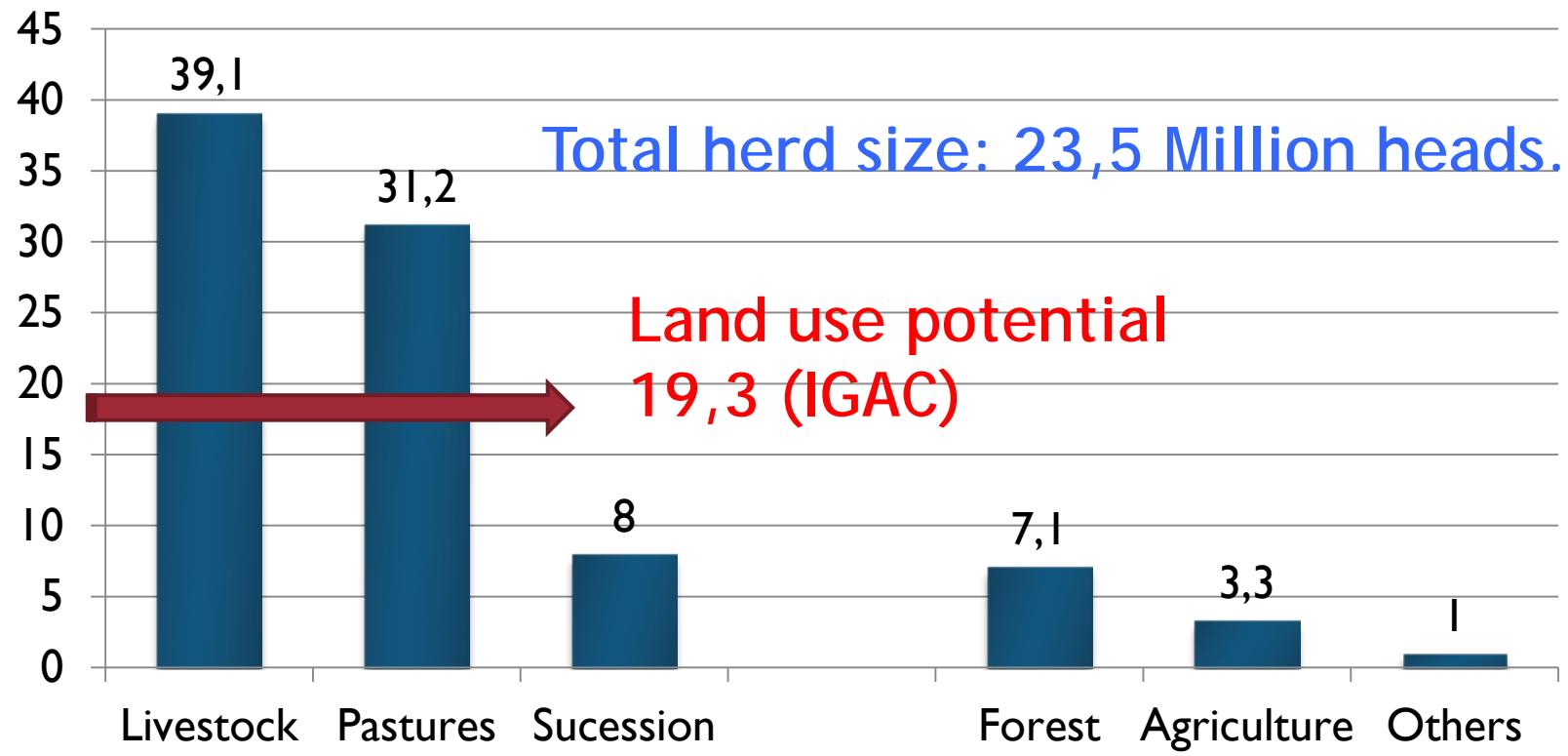


Colombian experiences in PES and other incentives to mainstream biodiversity in sustainable cattle ranching



Antonio Solarte
Enrique Murgueitio

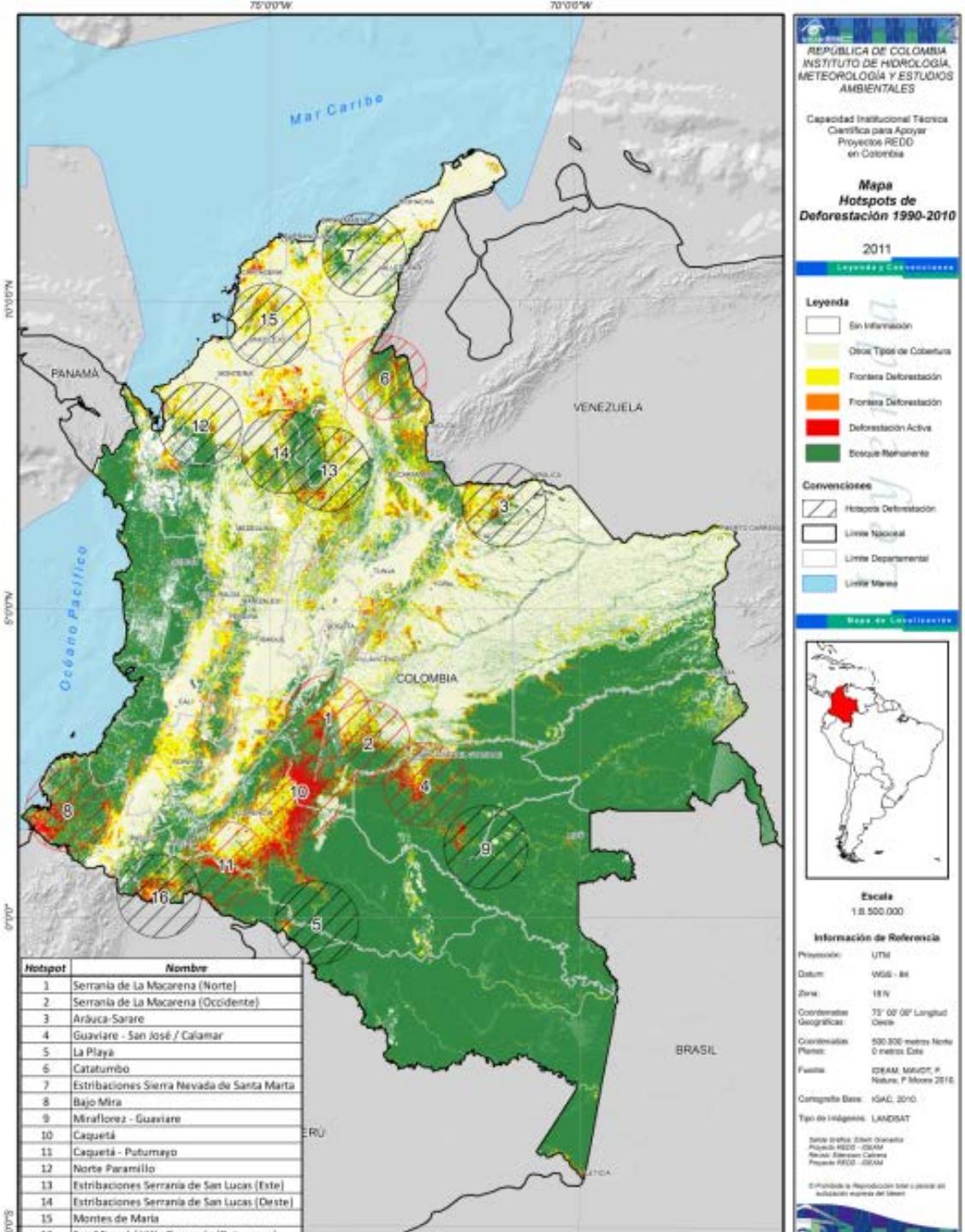
Land uses in Colombia in 2010 (Ha 10⁶)



Source: DANE and Ministry of Agriculture 2010

Deforestation Hotspots in Colombia

310,345 ha-year¹
1990-2010



► <http://funcitree.nina.no/>

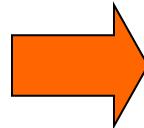
FEDEGAN - PLAN 2019

Goal.to.2019:

- National herd size: 56 millions heads of cattle
- Cattle ranching area: 28 millions Hectares

This meas:

- More than twice the cattle herd size
- releasing 10 Million Ha. **¿ How to do it ?**



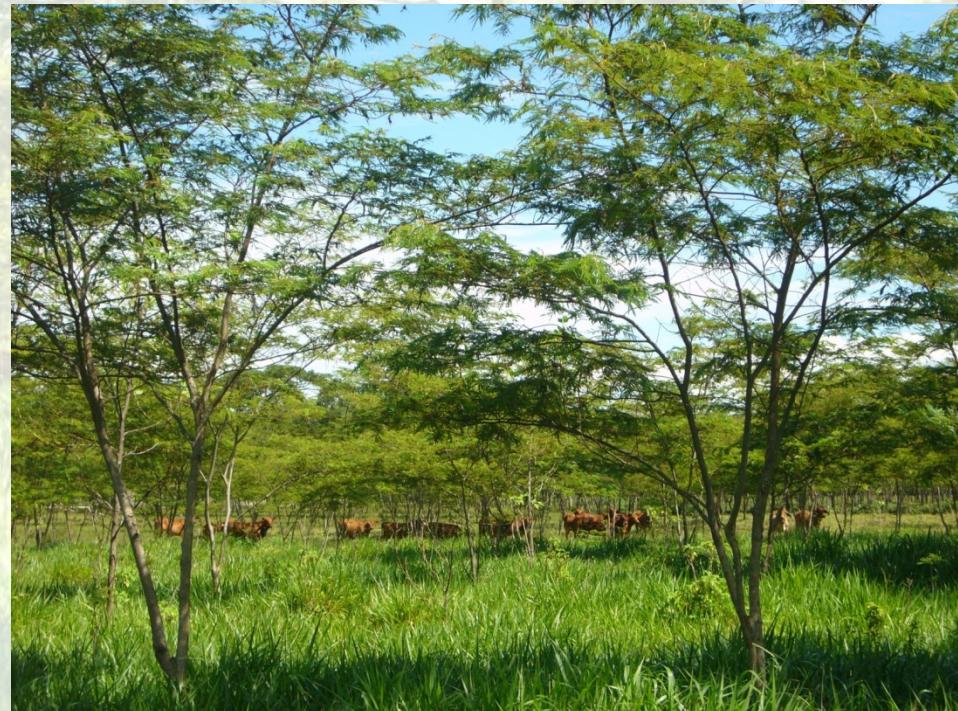
Silvopastoral Systems

Barriers for adoption of silvopastoral systems.

- ▶ Socio-economics:
 - ▶ Financial capital required for establishments.
 - ▶ Waiting period for establishment the trees in pastures.
- ▶ Technical and operationals.
 - ▶ Lack of specialized technical assistance.
 - ▶ Availability of technical assistance from agricultural inputs business houses advising in the opposite direction
 - ▶ Increased complexity and risk.
 - ▶ Need for skilled labor to handle a more complex farming system.
- ▶ Deep cultural roots to the extensive traditional cattle ranching systems

Tools for scaling-up SPS

- ▶ Financial incentives
- ▶ Payment for Environmental Services (PES)
- ▶ Specialized technical assistance
- ▶ Innovation awards for farmers
- ▶ Market preferences



Rural Capitalization Incentive - RCI -

- ▶ Financial benefit given to a person or company that individually or collectively, issue a new investment project.
- ▶ It is aim to improve the competitiveness and sustainability of agricultural production and to reduce their risks.
- ▶ This incentive is a direct payment to the bank to reducing the capital of the credit given by the beneficiary to finance investment activities.
- ▶ For small producers access to relief capital up to 40% of the project value, while medium and large producers benefit from an exemption of up to 20% of the capital value.

<i>Rubro de Inversión</i>	<i>Unidad</i>	<i>Valor máximo por unidad (en pesos)</i>
OBRAS DE ADECUACIÓN		
Perforación Pozos Profundos (1)	Metro	681.045
Excavación o Movimiento de Tierra - Manual o Mecánico (2)	M ³	6.687
Construcción Gaviones y Espolones	M ³	185.740
Erradicación de cafetales envejecidos para reconversión productiva	Ha.	1.000.000
Recuperación física y química de los suelos de la Altillanura de la Orinoquía	Ha.	1.354.577
OBRAS DE INFRAESTRUCTURA		
Infraestructura de producción	M ²	185.740
Invernaderos	M ²	12.383
CULTIVOS DE TARDÍO RENDIMIENTO		

SISTEMA SILVOPASTORIL

Con densidad de siembra de 7.000 especies forrajeras/Ha.	Ha.	2.070.124
Con exigencias de densidad de siembra en especies maderables	Ha.	3.477.800

Chontaduro	Ha.	4.950.000
Espárragos	Ha.	30.956.600
Fique	Ha.	4.272.011
Guanábana	Ha.	12.816.032
Guayaba	Ha.	8.482.108
Macadamia	Ha.	9.906.112
Mango	Ha.	9.000.000
Pitahaya	Ha.	19.812.224
Palma de Aceite	Ha.	6.934.278
Palma de Iraca	Ha.	6.129.407
Vid	Ha.	15.800.000

SISTEMA SILVOPASTORIL

Con densidad de siembra de 7.000 especies forrajeras/Ha.	Ha.	2.070.124
Con exigencias de densidad de siembra en especies maderables	Ha.	3.477.800

(1) El costo de los pozos profundos es variable dependiendo de la profundidad, diámetro y tipo de suelo.

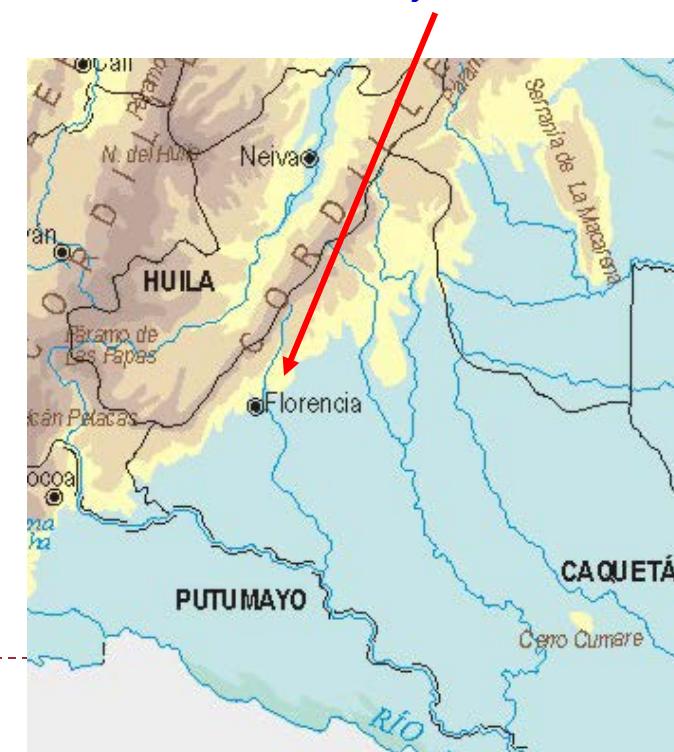


Caquetá

Eastern Andes - Amazon foothills
Rainfall > 3000 mm



Environmentally Sustainable Milk
Project



“Environmentally Sustainable Milk” Project



Baseline situation: land degradation in a cattle ranching farm



Eastern Andes - Amazon foothills

Rainfall > 3000 mm

Nestlé de Colombia and CIPAV

Environmentally Sustainable Milk Project

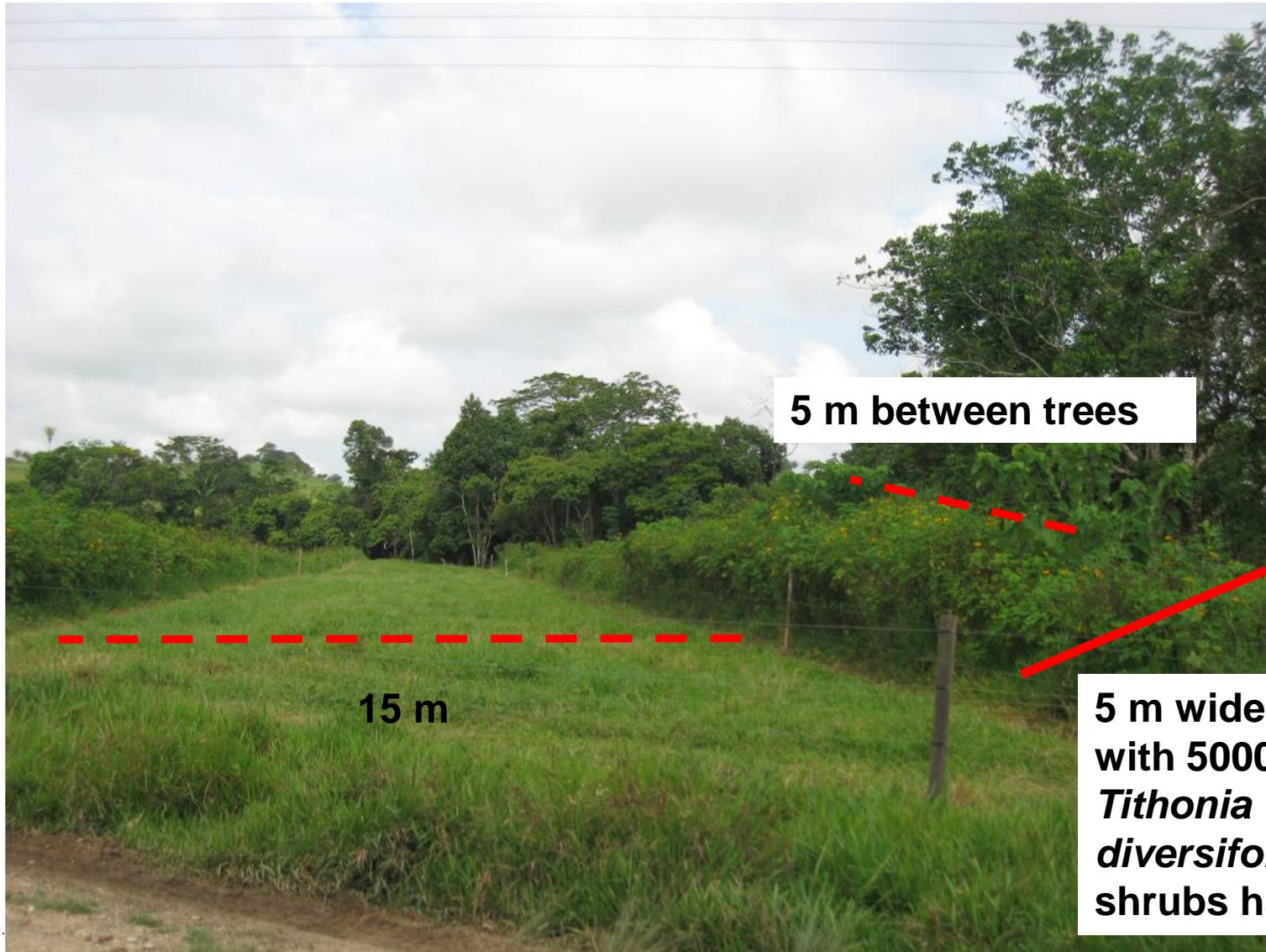
(Leche Ambientalmente Sostenible)

- ▶ Credit: Soft loans < US \$13,000; 8 year term and one year of grace (paying interest but not capital)
 - ▶ Specialized technical assistance
 - ▶ **Bonus price for “sustainable milk” > US \$ 0.04 L⁻¹**
(depending on the rate of progress in meeting the farm's goals).
- ▶ <http://funcitree.nina.no/>



To sustainably increase milk production and quality in the Amazon foothills (NESTLE's Caquetá dairy district) without increasing the land area used for grazing, through silvopastoral systems that promote the protection and restoration of forests, water and soil."

Intensive silvopastoral system





Milk production: $355 \text{ L ha}^{-1} \text{ yr}^{-1}$



$596 \text{ L ha}^{-1} \text{ yr}^{-1}$

Buenos Aires Farm, San Juan del Doncello, Caquetá

Owned by: Hernán Grajales



- 100 trees ha^{-1} in lines each 20 m
- 5000 shrubs ha^{-1} in double strips at both sides of trees.
- 15 m wide pasture strips between shrub & tree strips (5 m)

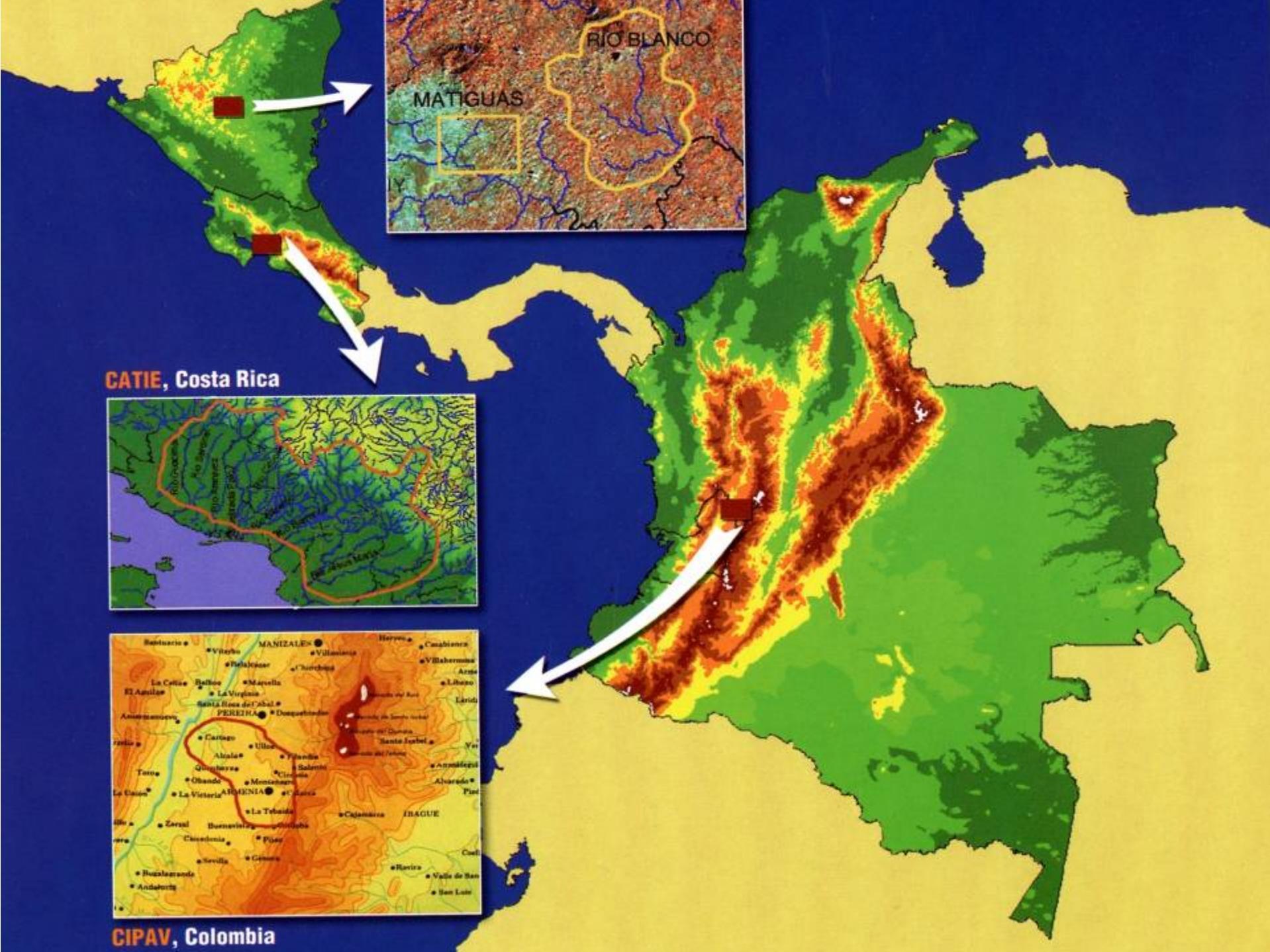
Regional Integrated Silvopastoral Approaches to Ecosystem Management (RISAEM) Project, 2002-2007



- ▶ Objective: evaluating the role of Payment for Environmental Services PES in the conversion of conventional cattle grazing systems into silvopastoral systems.
- ▶ In Colombia, the project contributed to the ecological rehabilitation of 3700 hectares at La Vieja river basin (Quindío and Valle del Cauca) through:
 - ▶ Transforming 78% of treeless pastures into silvopastoral systems
 - ▶ A 2040% increase in live fences
 - ▶ 140 hectares of intensive silvopastoral systems.



CRQ



Farm in the Project

	Colombia	Nicaragua	Costa Rica	Total
Group A (control)	29	30	28	87
Group B (PSA + TA)	50	77	74	201
Group C (PES)	25	30	31	86
Total	104	137	133	378

PSE – Payment for environmental services

► TA – Technical Advisory
<http://funcitree.nina.no/>



Research Site in Colombia



Colombia



Departments



► <http://fu...>



La Vieja River Basin

Área: 584 Km²

Altura: 900 – 1800 m

Zonas vida: bmf PMI y bnf-PM
FUNCI YREE

Fuente: Cipav

PES Index

It is an approach to estimate the amount of ES generated on a farm.

Used to monitor changes in IS and to define the amount of payment for the same.

28 Land Use areas were defined in the project in the three countries.

It is the combination (sum) of the points allocated for biodiversity and carbon sequestration, to each type of land use present on farms.

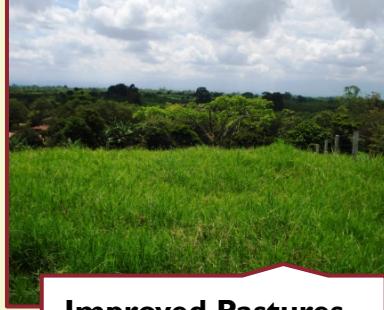
- Undesirable land uses = low score
- Land uses desirable = high score



28 Land Uses



Degraded Pasture



Improved Pastures -
Trees



Natural pastures -
Trees



Perennial Crops



Live fences



Fodder Bank



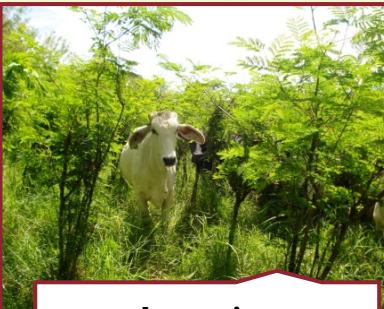
Wind Breaks



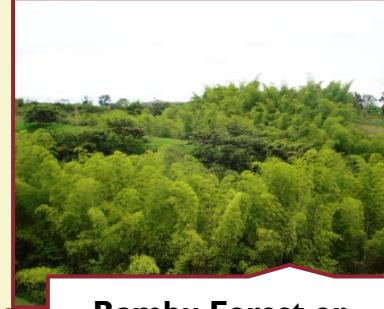
Improved Pasture +
Trees



Riparian Forest



Intensive
Silvopastoral System



Bambu Forest or
plantation



Secondary Forest

Índice según

#	Tipo de Uso de la Tierra	Índice Carbono	Índice Biodiversidad	Índice Total
4	Cultivo de soja, maíz	0	0	0
#	Tipo de Uso de la Tierra		C	BD
2	Pastura degradada		0	0
7	Past. nat. enriquecida c/ baja dens. de árboles	0,3	0,3	0,6
8	Cerca viva nueva o establecida c/ poda frecuente	0,3	0,3	0,6
9	Pastura mejor. enriquecida c/ baja dens. de árboles	0,3	0,4	0,7
10	Cultivo homogéneo de frutales (monocultivo)	0,3	0,4	0,7
11	Banco forrajero de gramíneas	0,3	0,5	0,8
12	Pastura mejorada c/ baja densidad de árboles	0,3	0,6	0,9
13	Banco forrajero con leñosas	0,4	0,5	0,9
14	Pastura natural c/ alta densidad de árboles	0,5	0,5	1,0
15	Policultivo de frutales	0,6	0,5	1,1
16	Cerca viva multiestrato o barrera rompe viento	0,6	0,5	1,1
17	Banco forrajero diversificado	0,6	0,6	1,2
18	Plantación de maderables en monocultivo	0,4	0,8	1,2
19	Cultivo de café con sombrío de árboles	0,6	0,7	1,3
20	Pastura mejorada c/ alta densidad de árboles ²	0,6	0,7	1,3
21	Bosque o plantación de guadua o bambú	0,5	0,8	1,3
22	Plantación de maderables diversificada	0,7	0,7	1,4
23	Sucesión vegetal o tacotal	0,6	0,8	1,4
24	Bosque ripario o ribereño	0,8	0,7	1,5
25	Sistema silvopastoril intensivo	0,6	1,0	1,6
26	Bosque secundario intervenido	0,8	0,9	1,7
27	Bosque secundario	0,9	1,0	1,9
28	Bosque primario itree.nina.no/	1,0	1,0	2,0

Potencial para Fijar Carbono y Conservar Biodiversidad

#	Tipo de Uso de la Tierra	Índice Carbono	Índice Biodiversidad	Índice Total
1	Cultivo de ciclo corto	0	0	0
2	Pastura degradada	0	0	0
3	Pastura natural sin árboles	0,1	0,1	0,2
4	Pastura mejorada sin árboles	0,1	0,4	0,5
5	Cultivo de semi-perennes	0,3	0,2	0,5
6	Pastura natural c/ baja densidad de árboles	0,3	0,3	0,6
7	Past. nat. enriquecida c/ baja dens. de árboles	0,3	0,3	0,6
8	Cerca viva nueva o establecida c/ poda frecuente	0,3	0,3	0,6
9	Pastura mejor. enriquecida c/ baja dens. de árboles	0,3	0,4	0,7
10	Cultivo homocítrico de frutales (monocultivo)	0,3	0,4	0,7

#	Tipo de Uso de la Tierra	C	BD	Total
14	Pastura natural c/ alta densidad de árboles	0,5	0,5	1,0

17	Banco forestal diversificado	0,9	0,9	1,2
18	Plantación de maderables en monocultivo	0,4	0,8	1,2
19	Cultivo de café con sombrío de árboles	0,6	0,7	1,3
20	Pastura mejorada c/ alta densidad de árboles ²	0,6	0,7	1,3
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28	Bosque primario itree.nina.no/	1,0	1,0	2,0

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12	Pastura mejorada c/ baja densidad de árboles	0,3	0,6	0,9
13	Banco forrajero con leñosas	0,4	0,5	0,9
14	Pastura natural c/ alta densidad de árboles	0,5	0,5	1,0
15	Policultivo de frutales	0,6	0,5	1,1
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21	Bosque o plantación de guadua o bambú	0,5	0,8	1,3
22	Plantación de maderables diversificada	0,7	0,7	1,4

#	Tipo de Uso de la Tierra	C	BD	Total
28	Bosque primario	1,0	1,0	2,0



Land Use Monitoring

2003



2007



2011



Fincas Galia, Cartago Valley



► <http://funcitree.nina.no/>

FUNCITREE

Land Use change in the “coffee region” of Colombia

2003 - 2007



FINCA PINZACUÁ, 2003

► <http://funcitree.nina.no/>

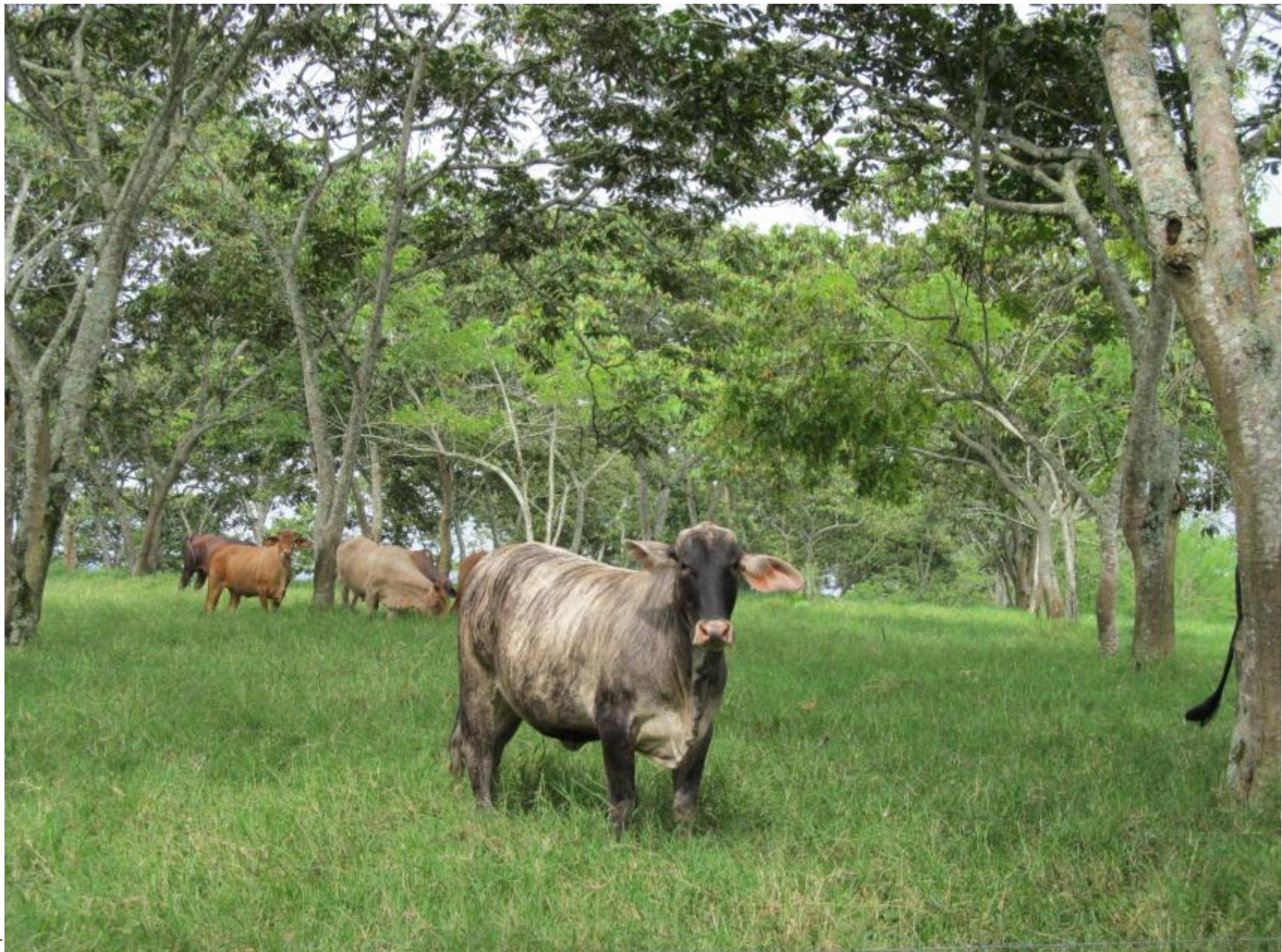


FINCA PINZACUÁ, 2007

FUNCITREE

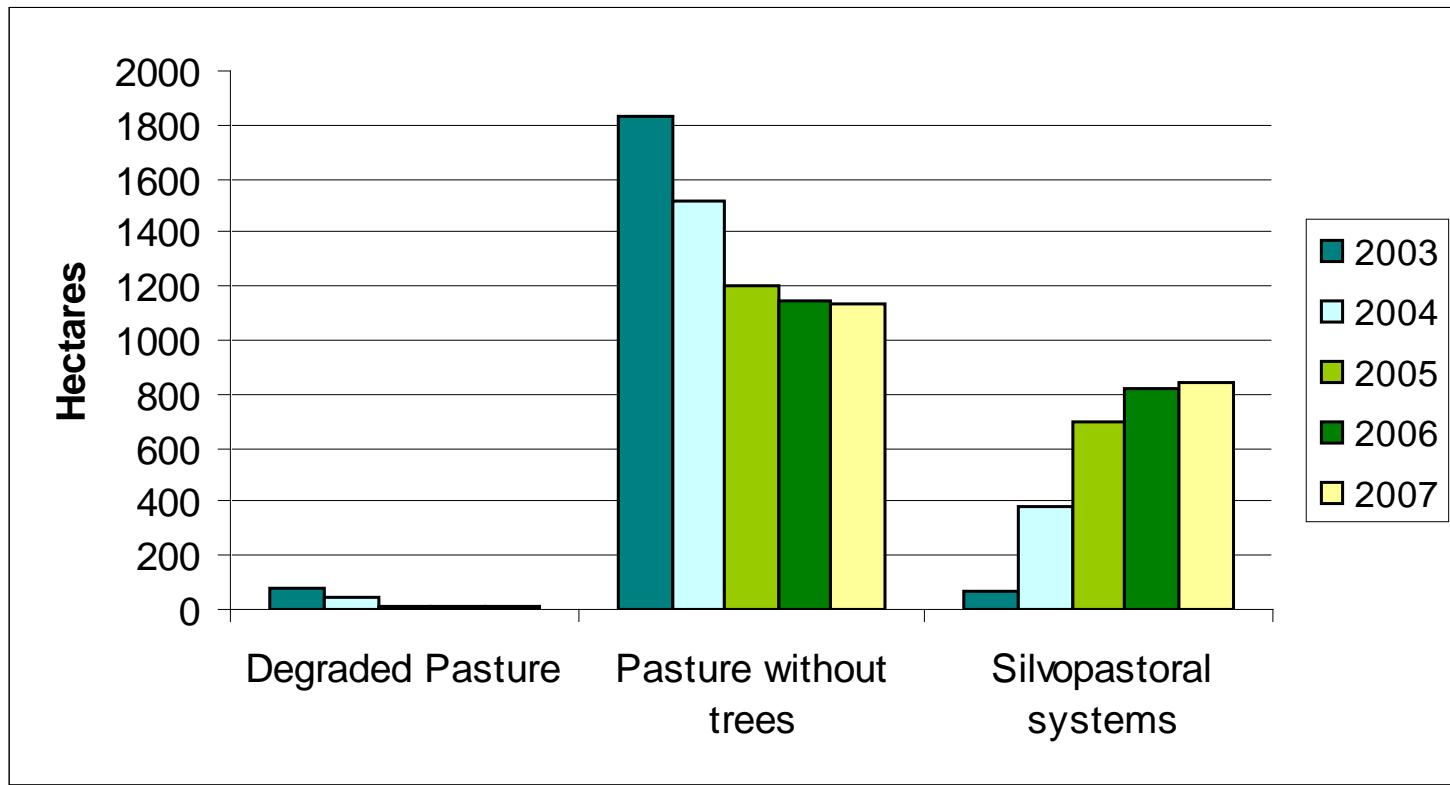


EE



Efecto del PSA sobre Cambios en el Uso del Suelo

Summary of the effect of the PES with Technical Assistance increasing forested areas and reducing treeless areas and degraded areas.
Rio La Vieja (Valle del Cauca and Quindío)



Usos (ha y km)

Banco forrajero con leñosas

Con PSA
75 fincas

Sin PSA
29 fincas

Banco forrajero diversificado

Banco forrajero de gramíneas

Cerca viva nueva o cerca viva con podas

Cerca viva multiestrato o barrera rompevientos

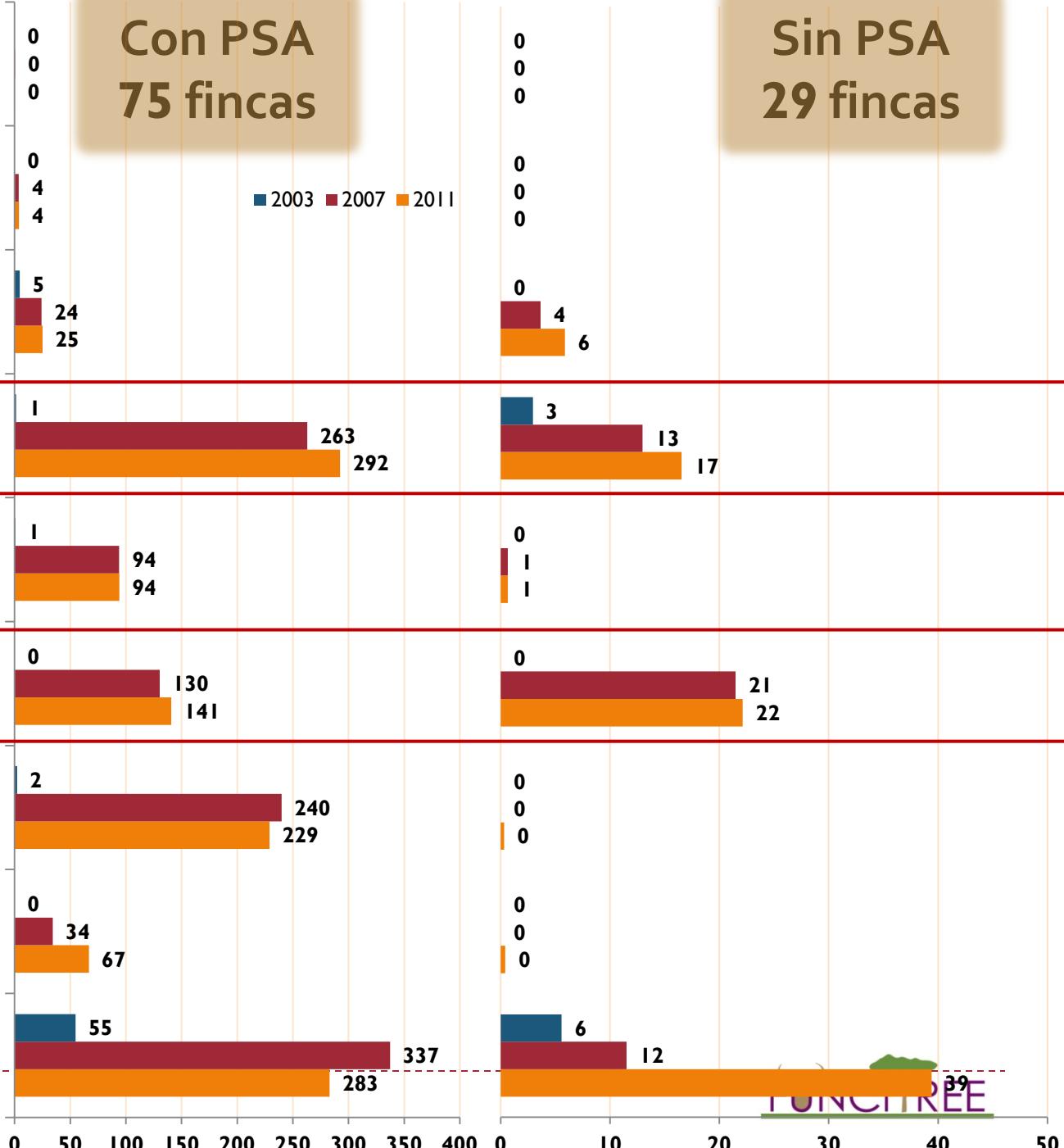
Sistema silvopastoril intensivo

Pastura mejorada con alta densidad de árboles

Pastura mejorada enriquecida con baja densidad de árboles

Pastura Mejorada con baja densidad Árboles

■ 2003 ■ 2007 ■ 2011





Usos (ha y km)

Bosque primario



Bosque secundario

■ 2003 ■ 2007 ■ 2011



Bosque secundario intervenido



Bosque ribereño



Sucesión vegetal



Plantación de maderables diversificada



Bosque o plantación de guadua o bambú



Plantación de maderables en monocultivo



Con PSA
75 fincas

Sin PSA
29 fincas

0
0
0

38
38
38

3
3
3

77
77
78

2
1
3

0
0
0

1
1
2

2
2
2

► <http://funcitree.nina.no/>

Effect of PES + TA

		Changes in the land use			
Treatments:		PES 2 YEARS		PES 4 YEARS	
Land uses:		2003-2007	2007-2011	2003-2007	2007-2011
Silvopastoral					
Pasture + trees		+		+	+
Fodder Banks				+	
Intensive Silvopastoral				+	
Live fences		+		+	+
Conservation /restauration					
Riparian Forest				+	+
Conservation Forest				+	
Undesirable land uses					
Degradaded Pasture				-	
Pasture - trees		-		-	



BIRD FAUNA IN CATTLE RANCHING

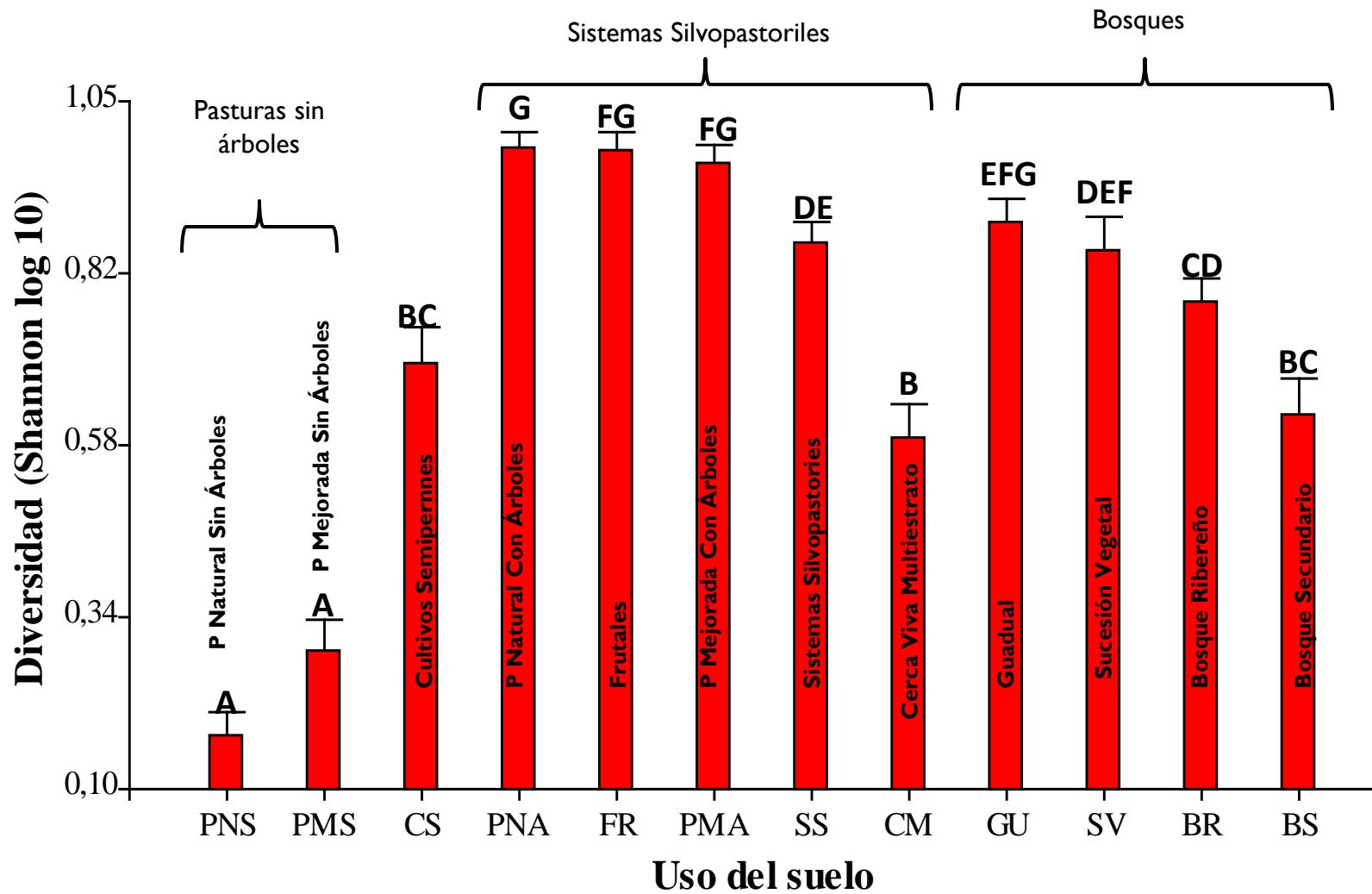


ENFOQUES SILVOPASTORILES INTEGRADOS PARA EL MANEJO DE ECOSISTEMAS

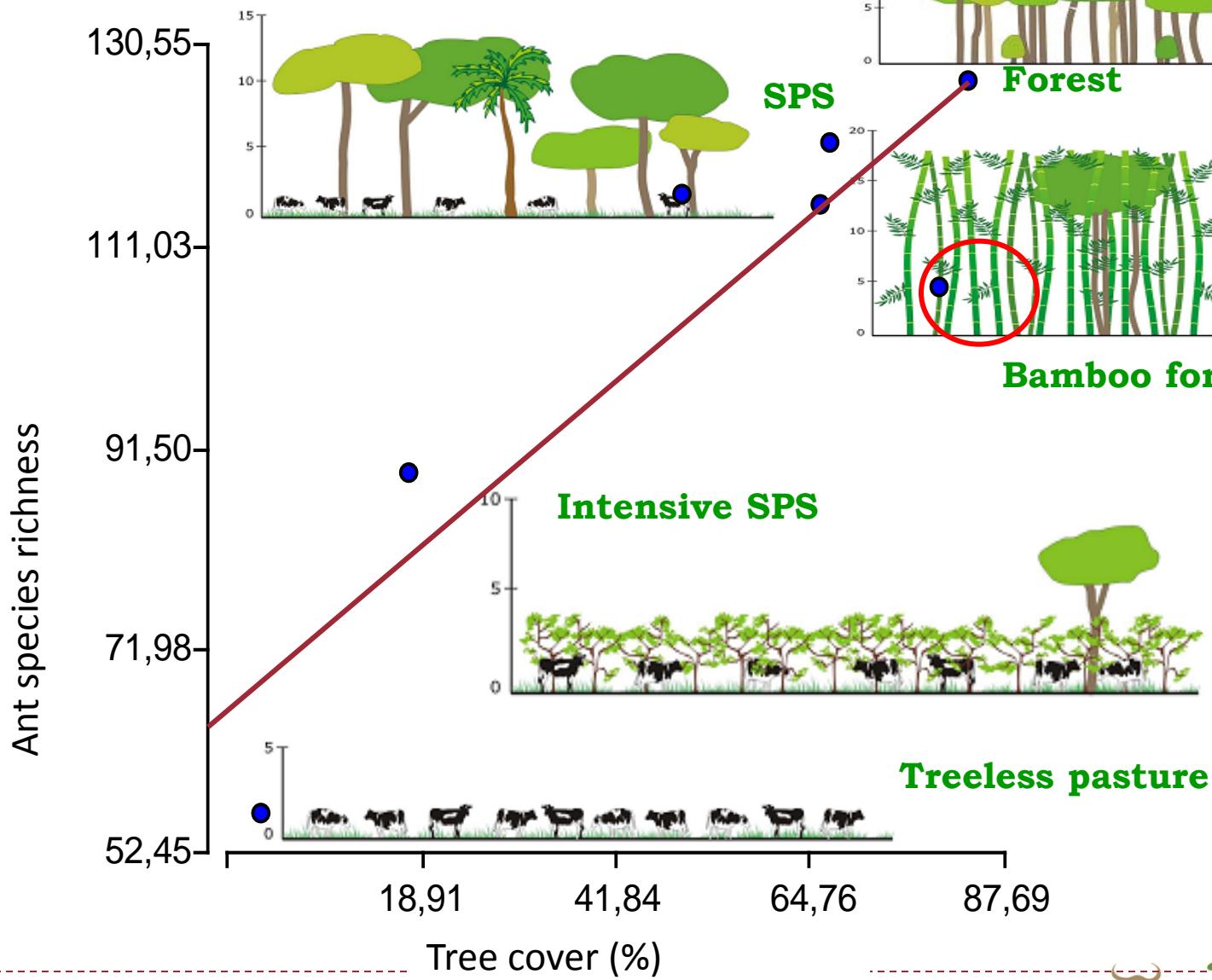
Bird Monitoring

Bird Category	Base Line	Year 5	% of change
Total richness	146	193	32,2%
Endangered species	6	7	16,7%
Forest dependant species	74	104	40,5%
Migratory birds	10	19	90,0%

Bird Monitotring

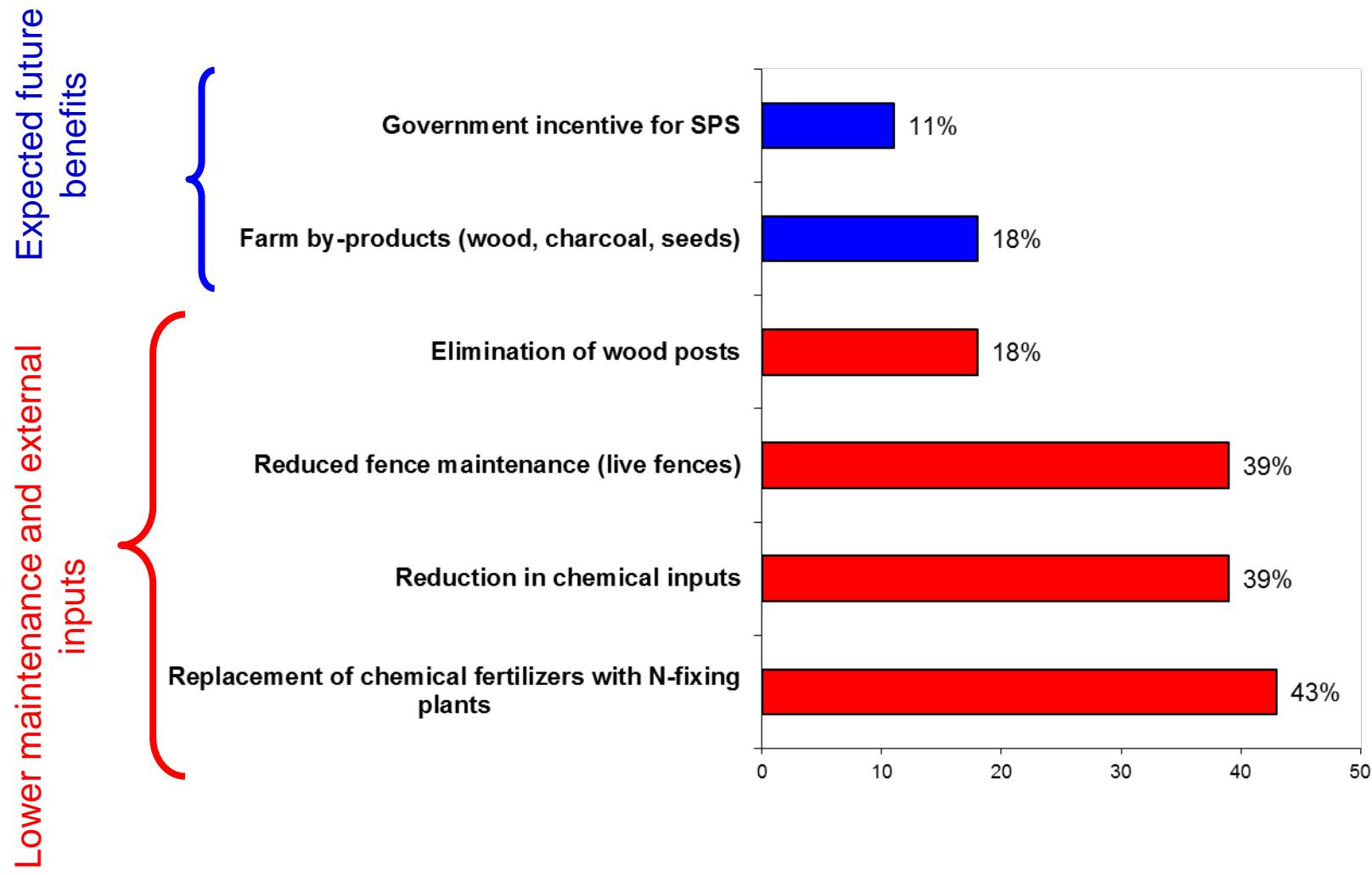


Tree cover vs. ant species richness



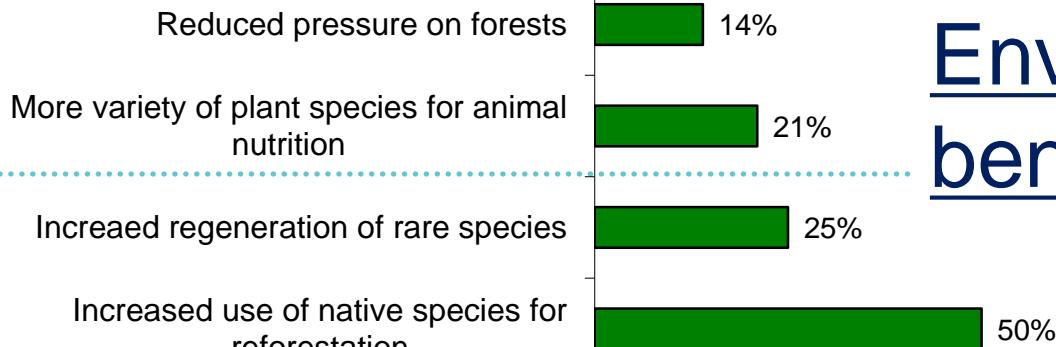
* Spearman $\rho = 0.75$, $N=7$, $p<0.005$

Farmers' perceptions on the benefits of the adopted silvopastoral systems

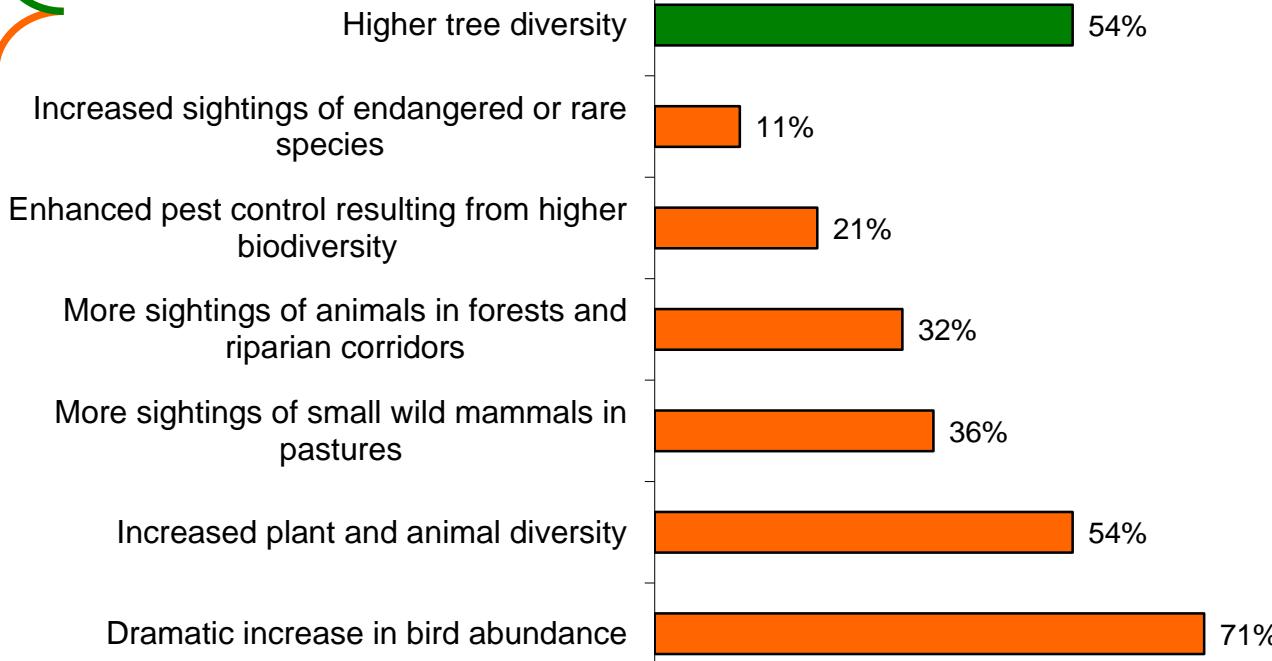


3. Environmental benefits

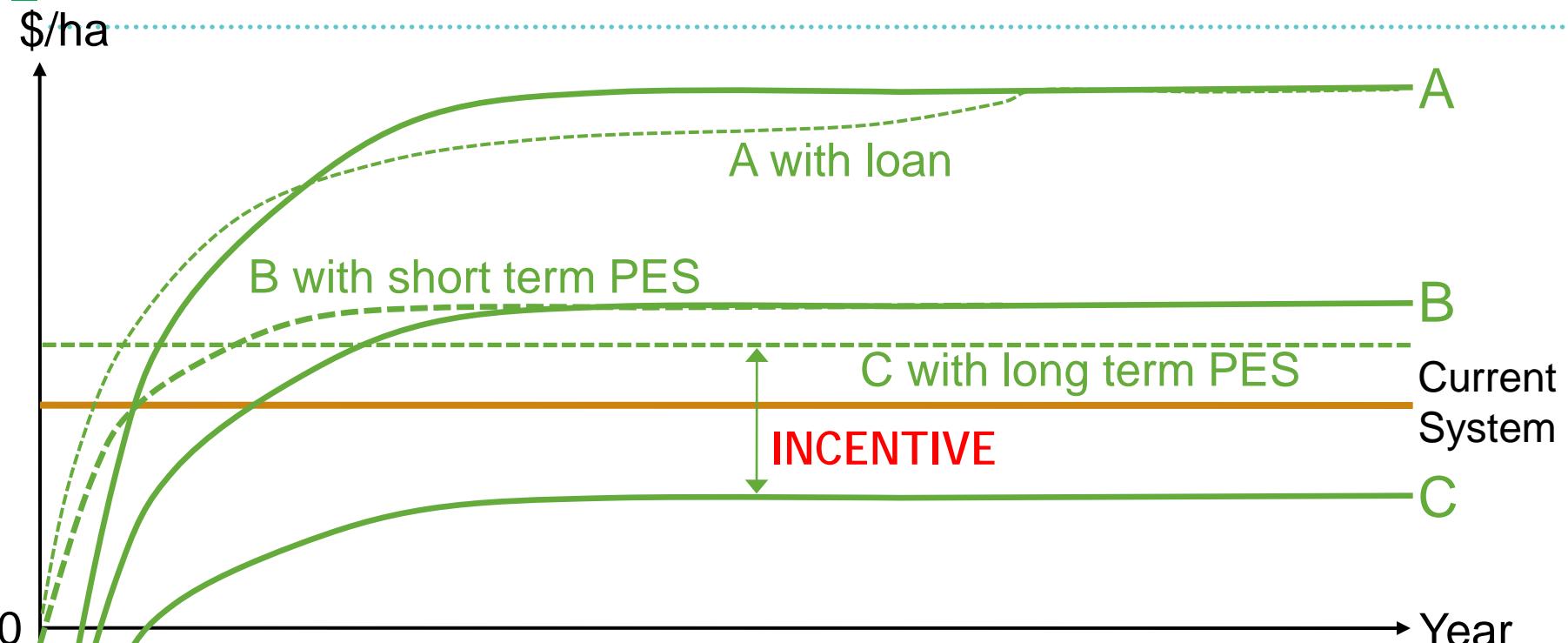
Vegetation



Biodiversity



How we can support good farming system?



A – Highly profitable

: Loan is enough

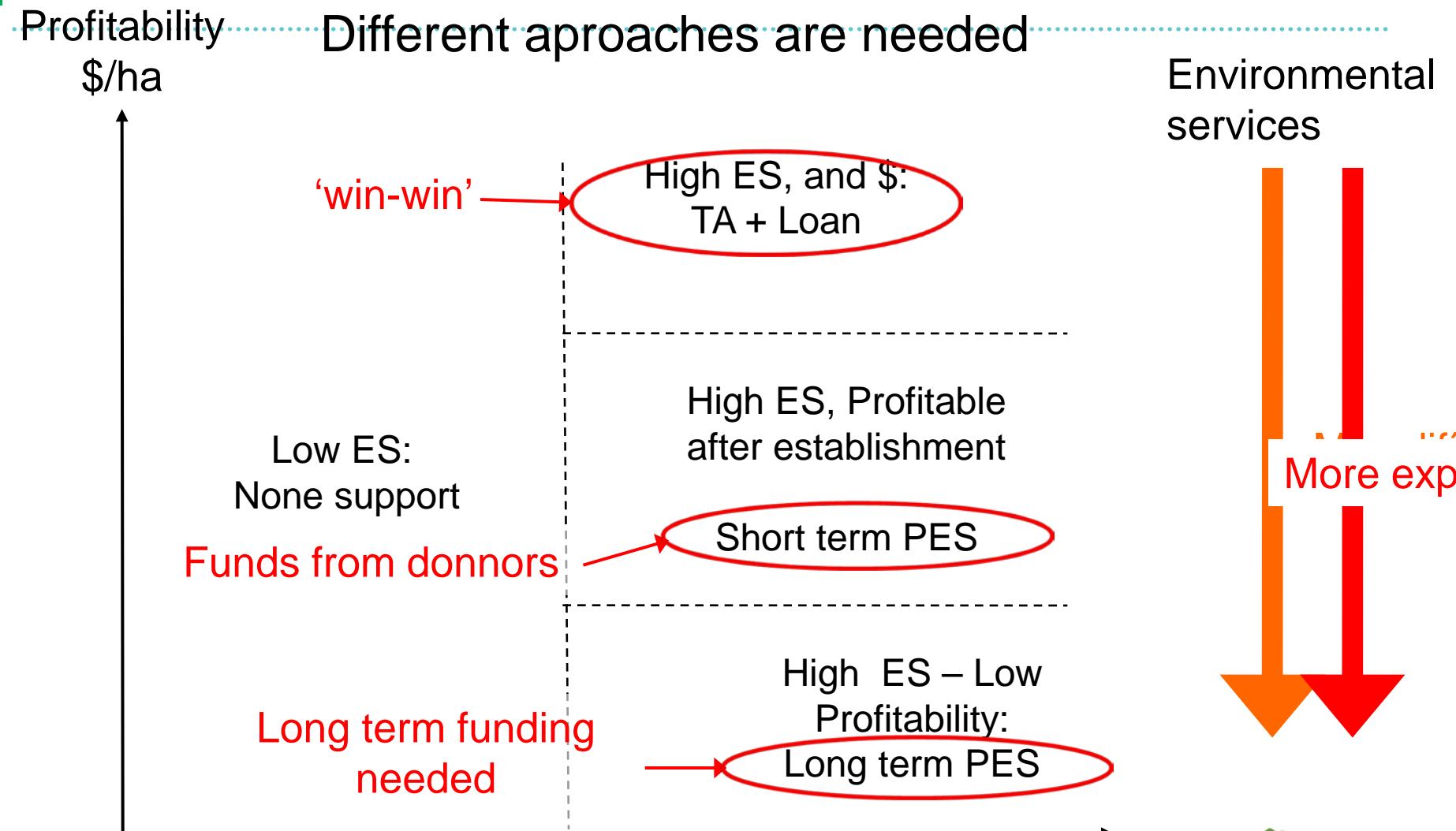
B – Profitable only after establishment

: Short term PES

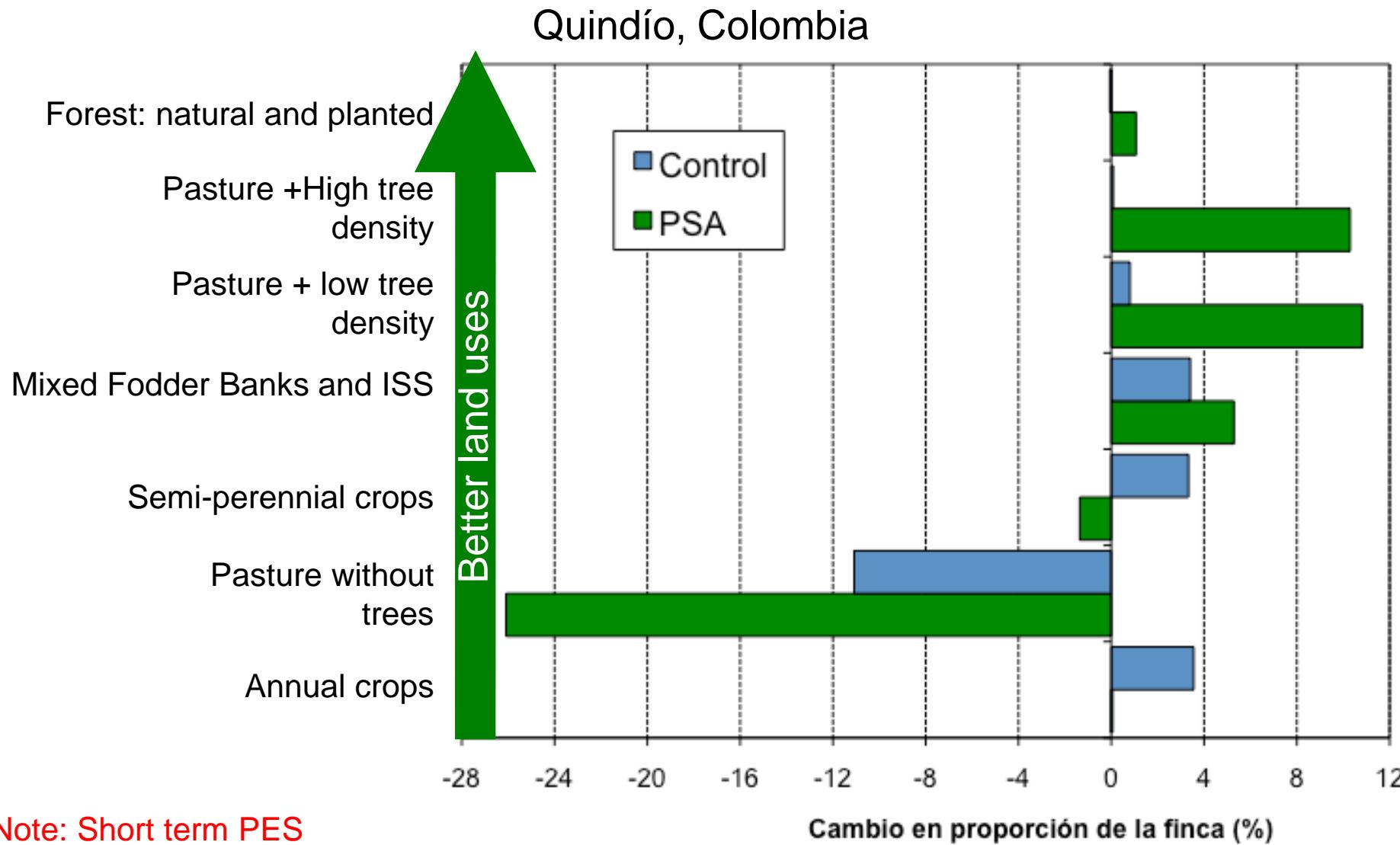
C – Low profitability

: Long Term PES is mandatory

How we can support good farming system?



Impact of PES in land use changes



Lessons learned from RISAEM Project

- ▶ Farmers respond to PES. The project induced substantial land use change.
 - ▶ SPS generate significant benefits for biodiversity, carbon sequestration and water.
 - ▶ Some SPS are highly profitable.
 - ▶ The high profitability of some SPS means that they do not need PES but other incentives such as credit and technical assistance.
- ▶ <http://funcitree.nina.no/>





Mainstreaming Biodiversity into Sustainable Cattle Ranching Project

Ganadería Colombiana Sostenible



The Nature Conservancy.
Protecting nature. Preserving life.



Ministerio de Agricultura
y Desarrollo Rural

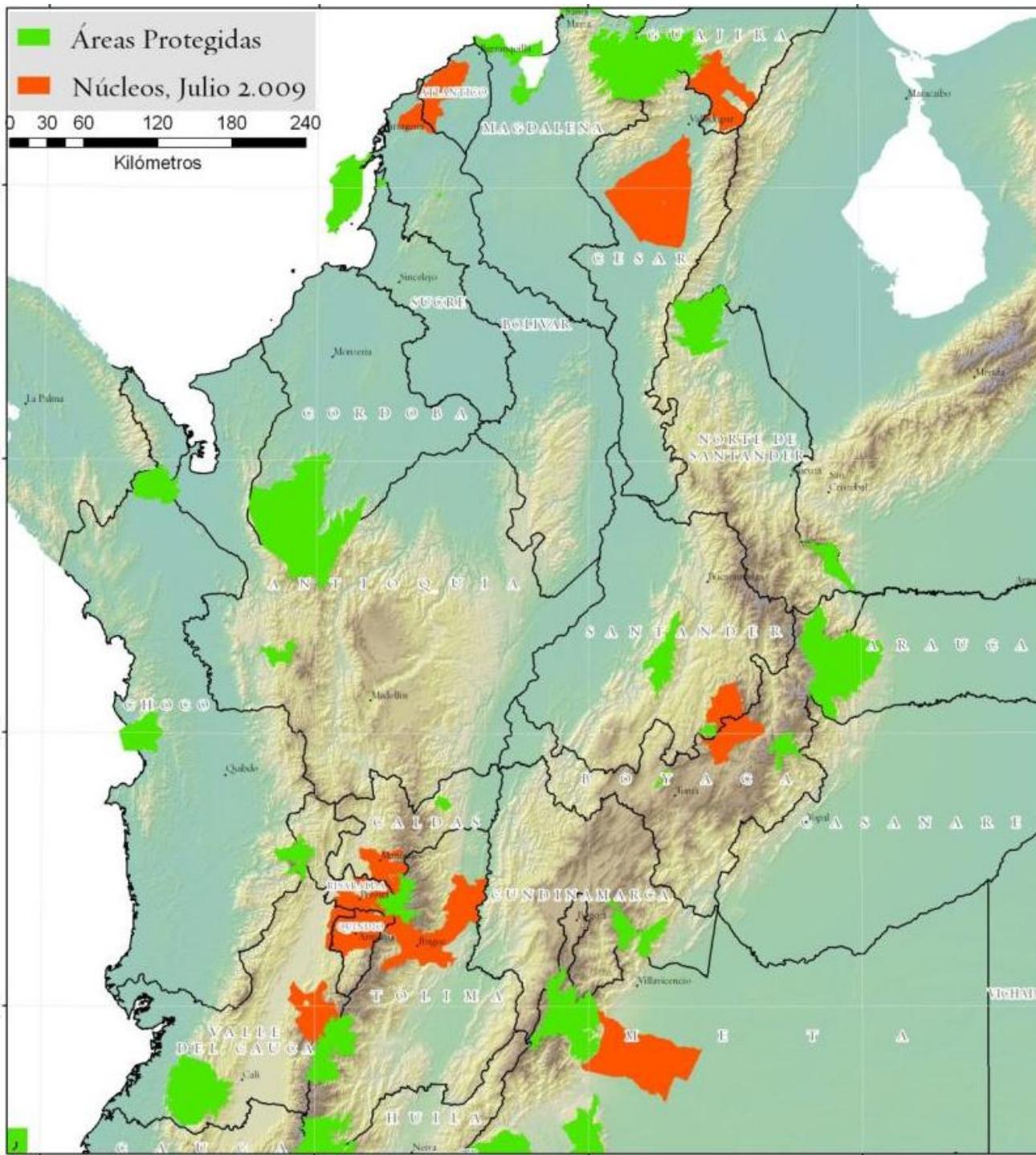


Mainstreaming biodiversity into sustainable cattle ranching Project



General objective

Inducing the adoption of biodiversity-friendly cattle ranching in areas with degraded pastures through different strategies, in order to: (1) create more suitable landscapes for biodiversity, water and soil, and (2) increase the income of farmers.



Project areas

Protected areas

TNC Colombia, 2009

FÜNCi TREE

Project goals

- ▶ 45,500 ha of pastures converted into biodiversity-friendly systems
 - ▶ 12,000 ha of intensive SPS
 - ▶ 31,500 ha of pastures with trees and live fences
 - ▶ 11,000 ha of pastures with scattered trees
 - ▶ 5,000 km of live fences
 - ▶ 2,000 ha of degraded land, recovered
- ▶ 5,000 ha of forests, conserved
 - ▶ <http://funcitree.nina.no/>



50 focal species



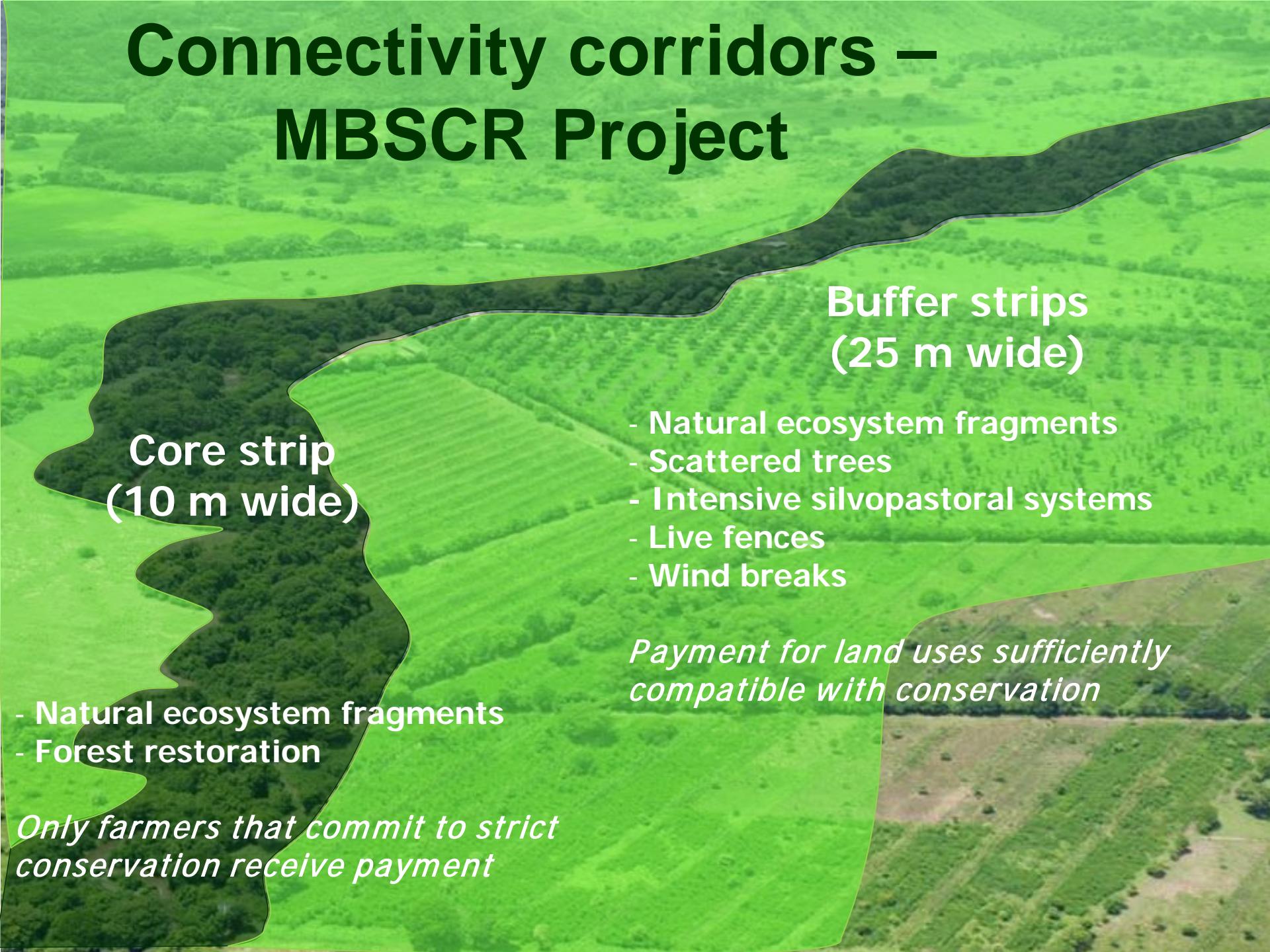
50 plant species of global conservation concern planted in farms



Project goal: connectivity corridors

- ▶ Project goal: 15,750 ha of connectivity corridors through a combination of secondary succession and enrichment planting.
- ▶ “Stretches of tree or shrub vegetation connecting fragments of natural ecosystems through riparian strips, pastures with high tree density, and other elements of the landscape.”

Connectivity corridors – MBSCR Project



Core strip
(10 m wide)

- Natural ecosystem fragments
- Forest restoration

Only farmers that commit to strict conservation receive payment

Buffer strips
(25 m wide)

- Natural ecosystem fragments
- Scattered trees
- Intensive silvopastoral systems
- Live fences
- Wind breaks

Payment for land uses sufficiently compatible with conservation

Compensation for small and medium farmers for land use changes

PES – Payment for Environmental Services

Treeless pastures in areas unsuitable for grazing;
degraded areas



Conservation of native forests
Corridors
Riparian buffers
Protection of wetlands
Restoration of degraded areas

Technical assistance and access to credit

Treeless pastures in areas suitable for cattle grazing



Intensive silvopastoral systems with timber trees
Mixed fodder banks
SPS with rotational grazing and good management practices

PES - Biodiversity and soil conservation scores for different land uses

Land use	Basic points	Additional points	
		In biological corridors	Focal species*
Mature forest	100	-	-
Secondary forest	95	-	-
Scattered trees and managed tree succession in pasturelands	70	10	10
Agroforestry systems (> 2 strata)	50	20	10
Live fences and windbreaks (Km)	10	5	5
Agriculture plots with plant cover >80%	10	-	10
Intensive SPS and mixed fodder banks	0	30	10
Seasonal crops and forest plantations	0	30	10
Degraded soil and degraded pasture	0	20	0

1 point = US\$ 0,75 ha-1

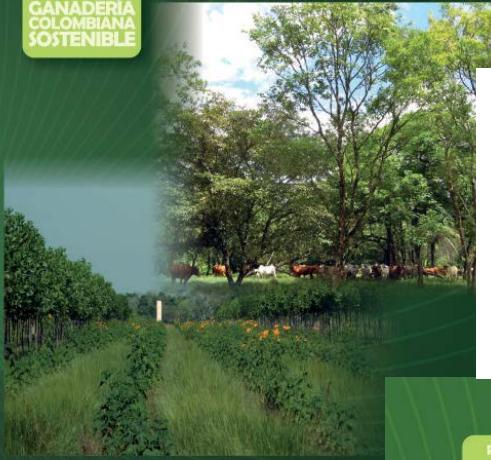
SPS: Silvopastoral systems

* Species of global conservation concern according to official project list (TNC-CIPAV)



Manual 1

Establecimiento y manejo de Sistemas Silvopastoriles

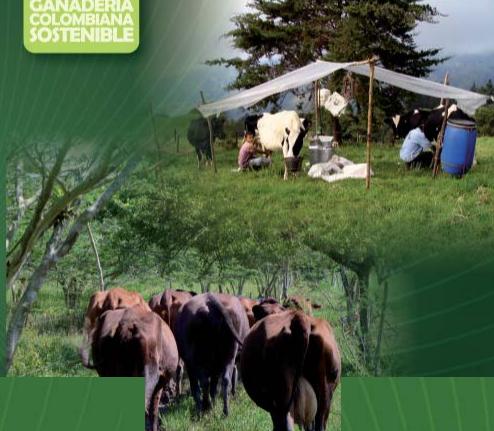


SPS
establishment
and
management



Manual 3

Buenas prácticas ganaderas



Manual 2

Manejo integrado de artrópodos y parásitos en Sistemas Silvopastoriles Intensivos



Training manuals

Integrated pest
management

<http://nina.epr.senar.gov.co>



The Nature
Conservancy
Conservando la naturaleza.
Protegiendo la vida



Manual 4

Servicios ambientales que proveen los Sistemas Silvopastoriles y los beneficios para la biodiversidad



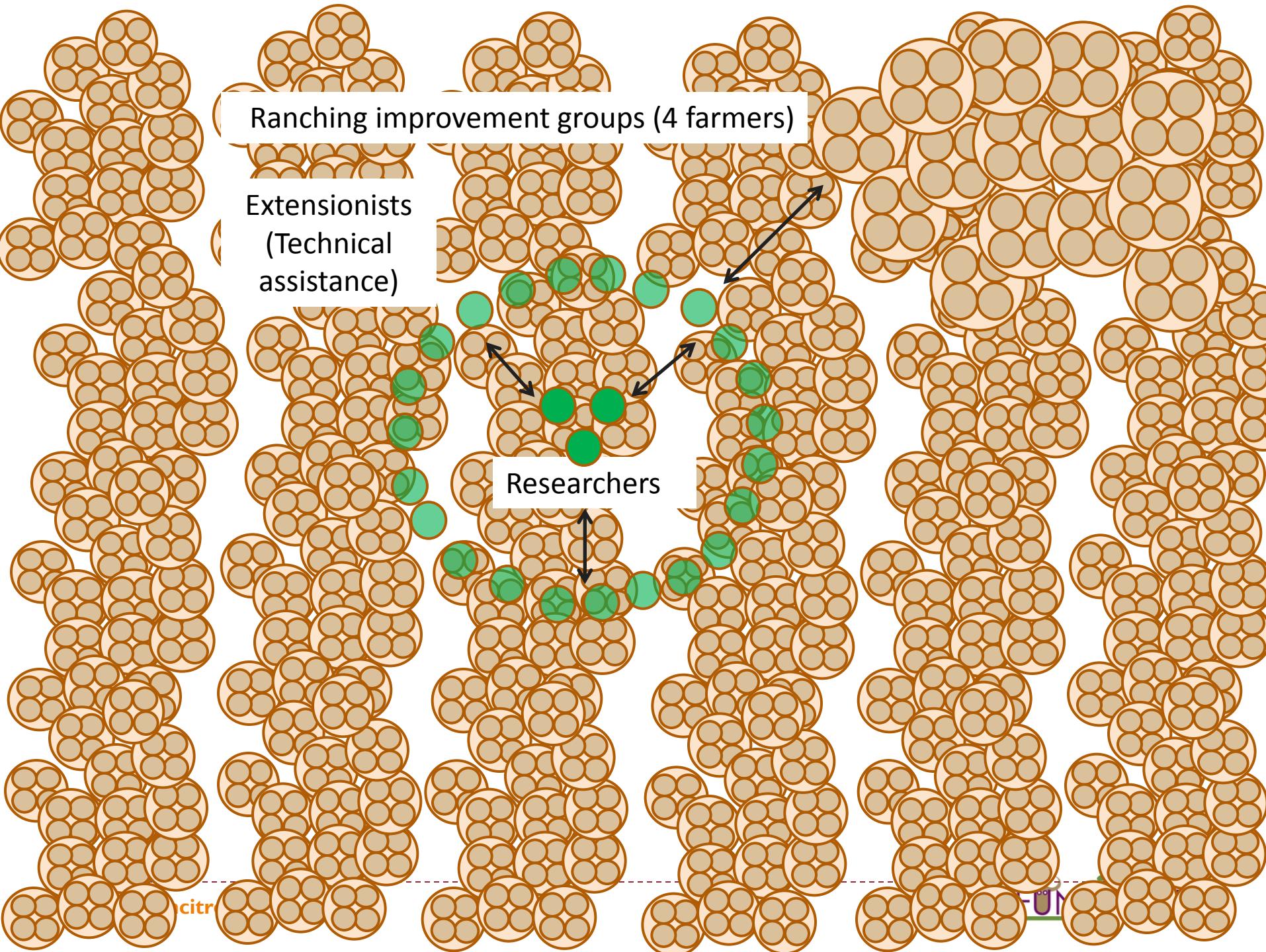
PROYECTO
GANADERÍA
COLOMBIANA
SOSTENIBLE



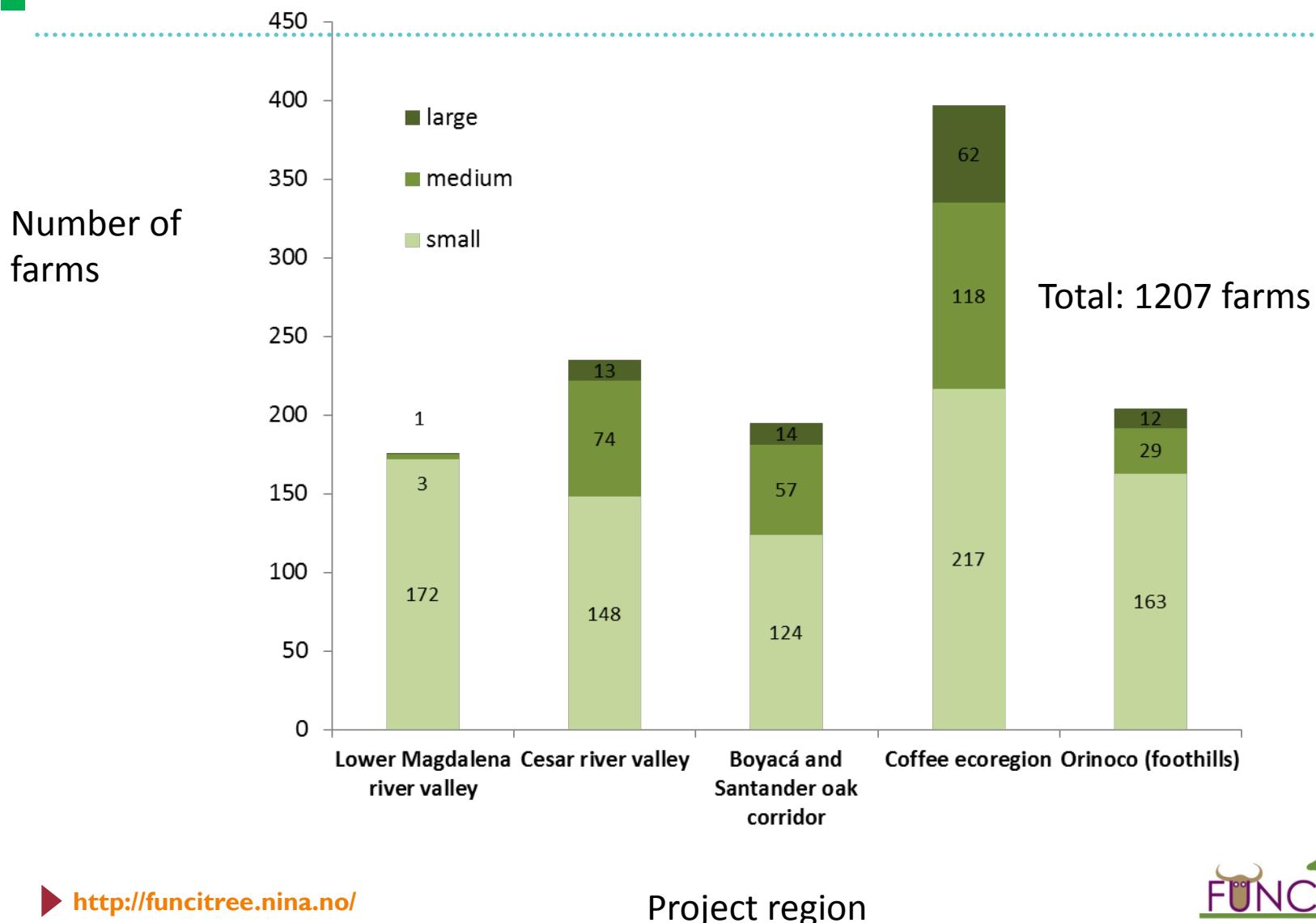
The Nature
Conservancy
Conservando la naturaleza.
Protegiendo la vida

Good farming
practices

Environmental
services &
benefits of
biodiversity



Farms enrolled in the Project after first call



Thanks!!!





UiO : **Centre for Development and the Environment**
University of Oslo

*Learning from payments for
environmental services: private sector,
development cooperation and PES in
campesino lands in Nicaragua.*

Mariel Aguilar-Støen



Environmental governance

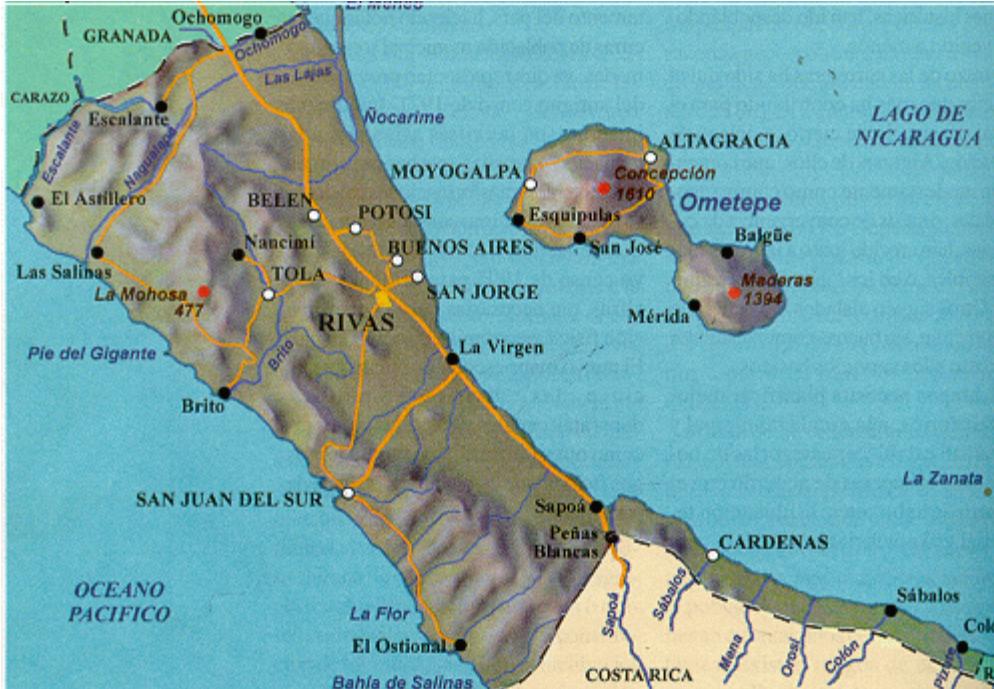
- The *practices* by way of which we construct and administer the exploitation of natural resources under neo-liberalization
- Engagements for the distribution of resources and the exercise of control and coordination (State and non-state actors)

Question

- How are the objectives, knowledge, technologies and practices of different actors negotiated in a PES project?
- Power asymmetries

Payment for Environmental Services

- Sugarcane industry CASUR (40%) (87 million m³)
- GIZ (German cooperation) (49%)
- Belén Municipality (10%) (0.6 million m³)
- Plantain producers (1%) (79 million m³)
- 87 *Campesino* families (US\$ 30/Ha.)



ent





The idea of PES

- Territorial planning

Why PES?

- Public-Private-Partnerships (GIZ/Gov/CASUR)
- Corporate Social Responsibility (CASUR)
- Decentralization (municipality)

Why be involved in PES?

- Territorial planning/PPP/water (GIZ)
- CSR- the company's image/PPP
- Decentralization/local consultations
- cash income/access to water/water availability

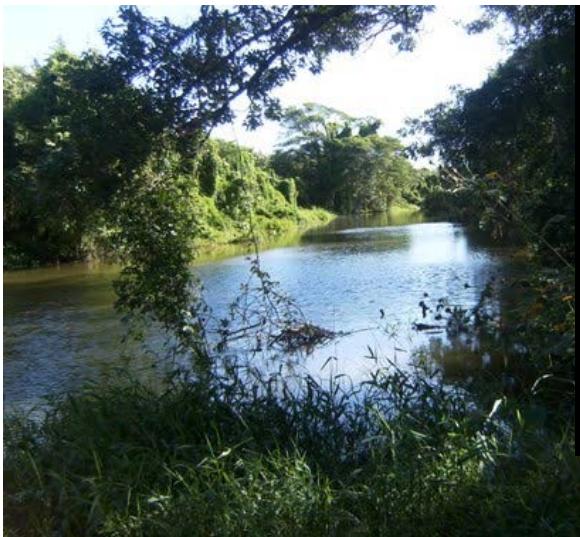


Participatory exclusions

- «... on the one side CASUR is paying, but on the other side they are felling trees all over the place to plant more sugarcane...the lands around the marsh are going to be planted with sugarcane, that is why they [CASUR] are drying it and many [of us] are going to end up without being able of fishing...fishes or shellfishes or hunting armadillos... they are taking opportunities [for using the resources] from others... i think it would be better if they do not give incentives but that they do not fell trees or dry the marsh either...»

Woman 40 years old

Excluded/included natures





The economy of repair

- Expand sugarcane plantations in the lower part of the watershed and around the lake and marsh → clean energy (bioethanol, electricity)
- Reforestation and agro-forestry in the upper part of the watershed → environmental services

The economy of repair

- Sugarcane production → clean energy (and sugar)
- Campesino families → providers of environmental services

Conclusion

- Restructuring of rules and authority over NNRR
- Restructuring around ideas of markets
- Market only rhetorical device
- Expansion of sugarcane
- Spaces for participation

Boosting traditional management of Sahelian *Faidherbia* parks ?



Regis Peltier (CIRAD), B. Marquant (APT),
O. Palou Madi (IRAD), M. Ntoupka (IRAD) and Tapsou (IRAD)

Background:

Traits and functions of Apple-ring acacia (*Faidherbia albida* (Del.) Chev.), iconic species of sahelian agroforesters, are well known of agro-pastoralists farmers and scientists.

Traits include its deep taproot system reaching the water table on alluvial soils, the ability to fix atmospheric nitrogen and its **inverted phenology**, the leaves being present in the dry season and absent during the rainy season



An other trait of *Faidherbia albida* is his ability to vegetative propagation (root suckers) and to reject from stems and branches



Faidherbia albida positive impact on associated crops and its production of forage (leaves and fruit) and firewood are also **functions** widely recognized.



During the eighties, agricultural development companies had the leitmotif of **agricultural mechanization**.

To facilitate mechanical tillage, they wanted to **expel the tree out of the fields**, confining it on their periphery (live-hedges, windbreaks) or in line (alley-cropping).

This is why the great traditional agroforestry trees have been only preserved in the home-gardens, cultivated with the hoe. These “modern lines agroforestry systems” were less effective than traditional parkland for the conservation of soil fertility, more workforce consumers, less compatible with traditional breeding.

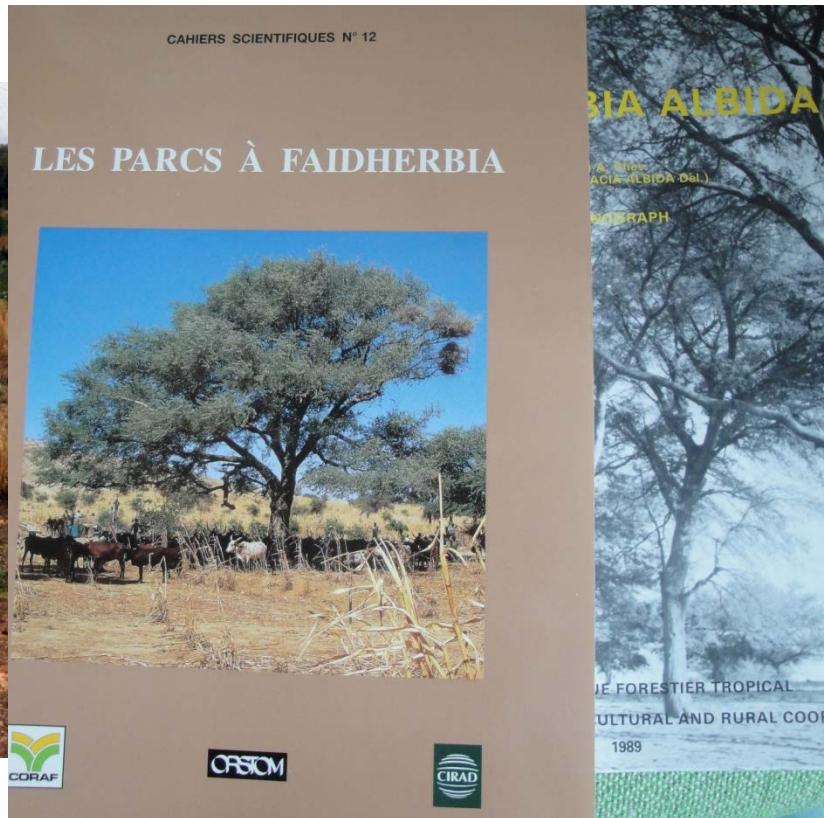
That is why **they have remained confined into research stations**.



This explains why, despite all their advantages , the area extension of *Faidherbia* agroforestry systems (parklands) was far below what it could be, despite the isolated actions of many extension services and NGOs



The northern Cameroon example shows that new researchs on crop productivity under *Faidherbia* helped changing the perception of this tree by services and operators of agricultural development, in the 1990s.

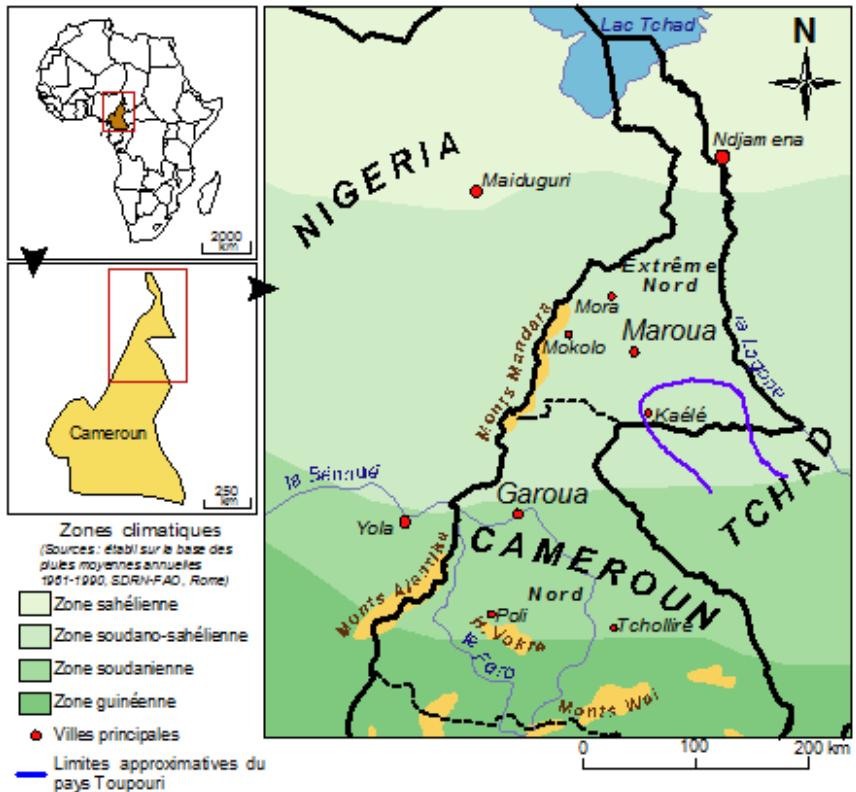


Then it was possible to "boost" the restoration of these parklands on a large scale, mobilizing public funding, associations and farmer organizations and subsidizing (even at a low level) Assisted Natural Regeneration (**ANR**).



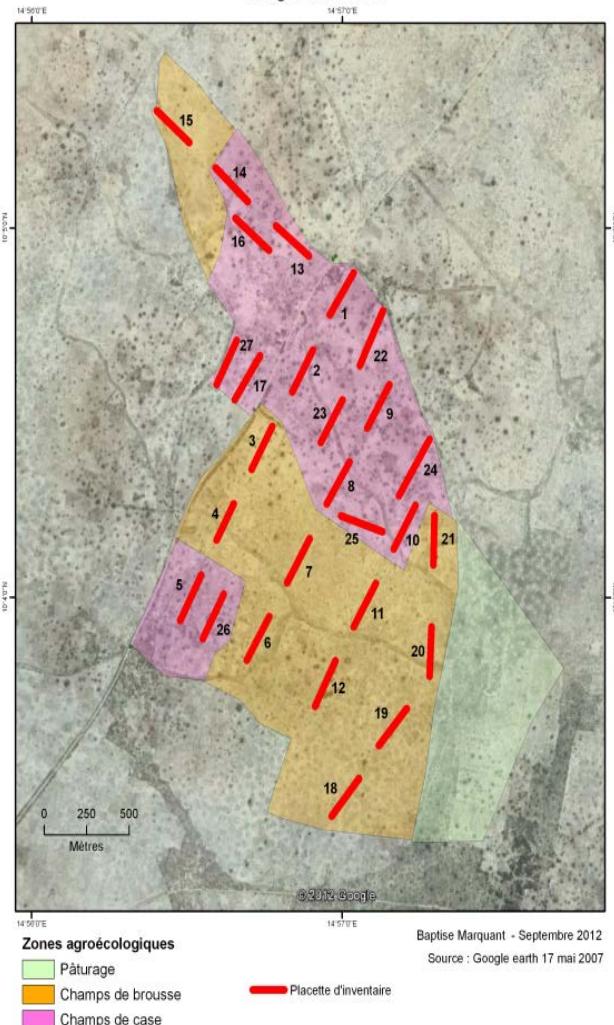
Study Method:

Socio-economic surveys, trees inventories and pruning trials, were conducted in northern Cameroon, in 2012



Survey of Gane and Sirlawé landscape, by agroecological zones inventoried.

Zonage et placettes d'inventaire forestier
Village de Sirlawé



The measurements made during inventories are:

- DBH for all species;
- Height and floor projection for *Faidherbia* never been pruned;
- *Faidherbia* sprouts number.

These data will be determined by agro-ecological zones and village

- *Faidherbia* densities relative to other trees;
- Structures and dynamics of *Faidherbia* stands;
- Coverage percentages of *Faidherbia*.

Some of these settings tree stands will be compared to those identified by ENREF ten and twenty years ago.

Agroecological zones	Surface (ha)	N plots	Plots surface (ha)	Sampling rate (%)
"Home fields" Gane	259	11	1	4
"Bush fields" Gane	124	12	0.6	6
" Home fields " Sirlawé	177	16	1	9
" Bush fields " Sirlawé	231	11	1	5

Calculating the annual productivity of *Faidherbia* fuel-wood, obtained by pruning, at two village landscape

From 2004 to 2006, ENGREF conducted a first round of pruning of 60 *Faidherbia* in a range of the widest DBH. In 2012, it was decided to select from all databases of the trees pruned by ENGREF, 29 trees of various DBH.

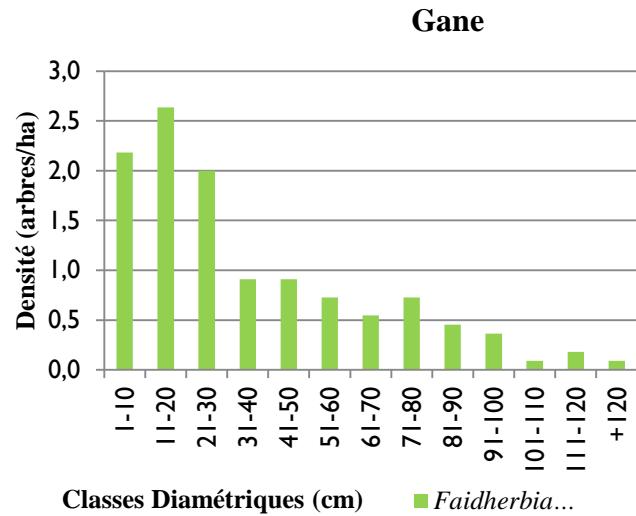
These trees have been pruned to new, 6 to 8 years later, and the wood has been weighed.



Results of *Faidherbia* surveys in Gane

Year	2012	2003	2012	2003	
	Home fields (N=11)	Home fields (N=10)	Bush fields (N=12)	Bush fields (N=31)	
Mean		11,9	6,9	27,6	19,9
Standard deviation		6,7	2,9	17,3	19,8
Standard deviation to the Mean		2,0	0,9	5,0	3,6
Student test (ddl = N-1)		1,8	1,8	1,8	1,7
Sampling error		3,6	1,7	9,0	6,0
Confidence interval 90%	[8,3;15,6]	[5,2;8,6]	[18,7;36,6]	[13,9;25,9]	

Comparison of *Faidherbia* inventories, in the village of Gane, in numbers of trees / ha, between 2003 and 2012.



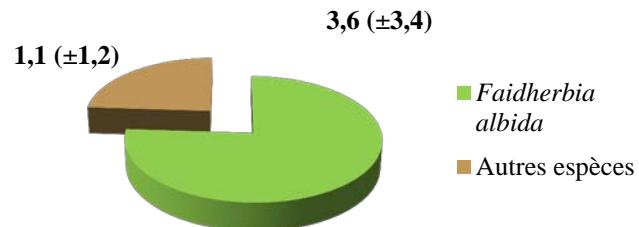
Diametric structures in stands of *Faidherbia albida*



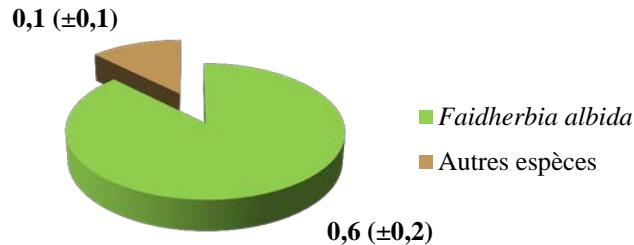
Results of *Faidherbia* surveys in Gane, species biodiversity

(Basal area of *Faidherbia* and others species in m²/ha)

Home fields



Bush fields



Discussion

Concerning the recovery of biomass between the two pruning, the results show a wide difference between the two villages. The recovery rate in the village of Sirlawe is 27% (± 10.7) and it is much more important for Gane: 127% (± 26). In the latter case, the pruned biomass was totally restored after seven to eight years. The difference can be explained by the height of the water table, the closer it is to the surface, the higher the rate of recovery.



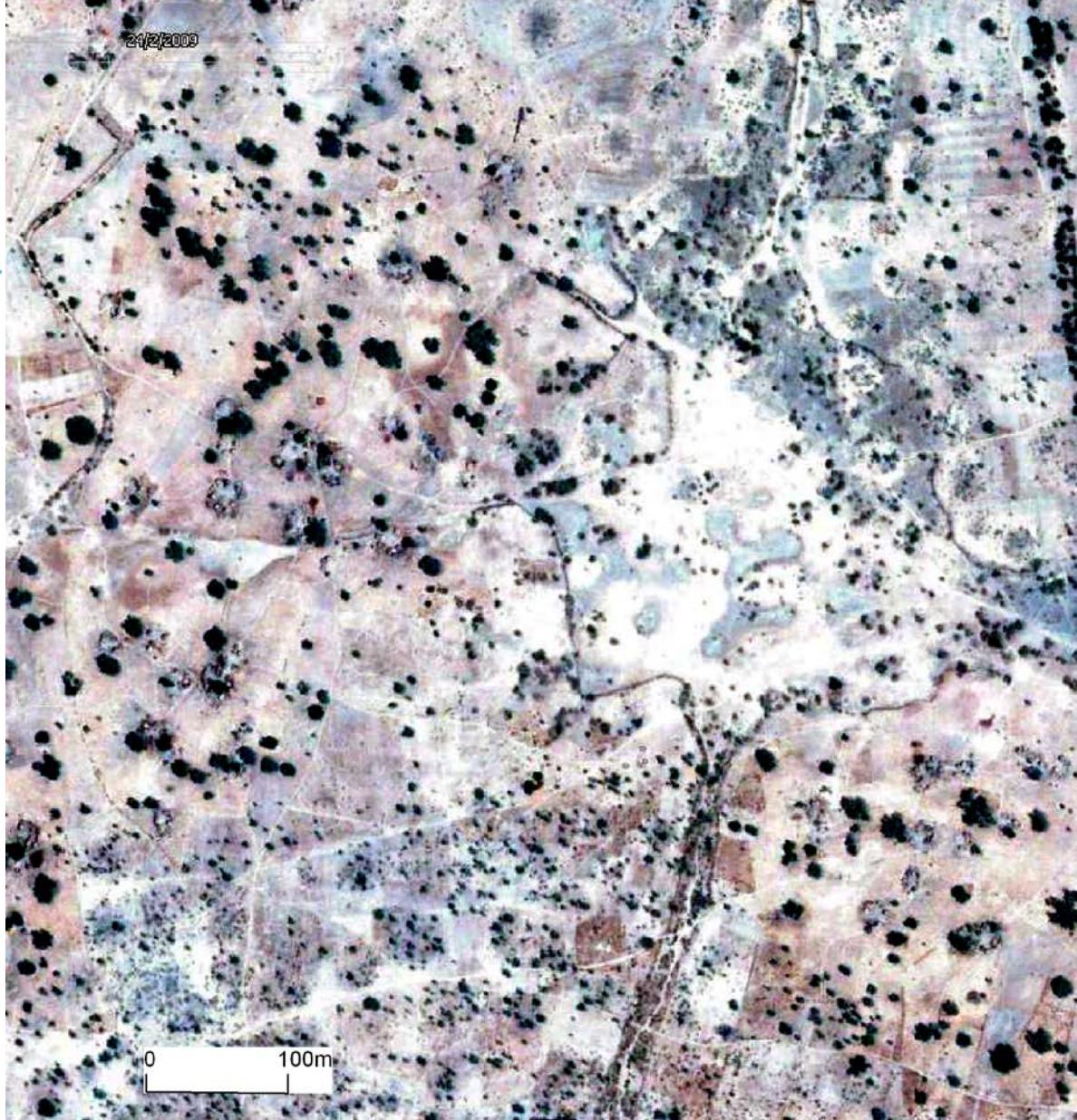
Taking into account the results of forest inventories and the model of the annual productivity of pruned *Faidherbia* wood, it is possible to **calculate the amount of pruned wood on both village lands.**

Weighing bundles of firewood used by families surveyed have established an average daily household consumption per person for each of the two villages. The combination of these data allows us to show that the pruned *Faidherbia* wood ensures resource needs for firewood kitchen preparation of 1600 and 1,800 people, respectively Gane and Sirlawe, during 3 months, **a quarter of the annual needs.**



Conclusion

These studies on
Faidherbia albida
have helped
Cameroonian
farmers to keep
more than
one million
young trees



But these studies have also helped to change the law in the sense of increasing the rights of peasants on the tree. This concern planted trees, often exotic, but also natural species kept and maintained by farmers, such as *Faidherbia*, shea-butter tree (*Vitellaria paradoxa*) and many other multipurpose species.



Thank you very much for attention and
thank for the project Funcitree team





Functional Diversity:

An ecological framework for sustainable and adaptable agro-forestry systems in landscapes of semi-arid ecoregions.

Based on the principles of functional ecology, FUNCiTREE addresses the provision of multiple services of silvopastoral systems (SPS) in semi-arid regions in Africa and Central America. FUNCiTREE aims to provide farmers in the regions with a portfolio of regionally suitable tree species that are capable of providing multiple services. The project integrates theories and concepts from agroforestry and ecological science and will provide a scientifically based model for the design of modernized SPS.

NINA (Norway): The leading research center in Norway on applied ecology, emphasizing the interaction between human society, natural resources and biodiversity

CATIE (Costa Rica): A regional research and education centre about agricultural sustainability, environmental protection and poverty eradication

WUR (The Netherlands): Internationally leading university in agricultural Almeria has a focus on organism responses to drought, ecological interactions, biodiversity conservation, desertification, and soil science

CIRAD (France): Research on agro-ecosystems for international sustainable development, environmental, and climate research

CSIC (Spain): Research at the Arid Zones Research Station,

ISRA (Senegal): Priority areas relate to agronomic, animal and forest production, and rural economy

IER (Mali): The leading research centre in Mali on agriculture and agro-ecosystems.